WallachBeth Capital 606 - Held NMS Stocks and Options Order Routing Public Report

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3rd Quarter, 2025

July 2025

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.0000	0.0000	0.0000	0.0000	0.0000

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

July 2025

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
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Venues

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July 2025

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.0000	26.7086	33.5428	39.7486	0.0000

Venues

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RSKY	90.2399	96.7871	89.0020	87.4429	0.0000	-1,751.9528	-6.6657	-3,946.3489	-6.7518	-4,203.4526	-6.7282	0.0000	0.0000
DND	5.1922	0.0000	6.4155	7.2298	0.0000	0.0000	0.0000	-2,108.4478	-3.8562	-2,547.4021	-3.8551	0.0000	0.0000
BCEX	1.6431	2.8112	1.7312	0.9132	0.0000	-30.9382	-6.2882	-185.4319	-6.5293	-14.5823	-6.2318	0.0000	0.0000
ZERO	1.6103	0.4016	1.3238	2.5114	0.0000	-9.9208	-1.4112	-141.4419	-1.4154	-210.0086	-1.4141	0.0000	0.0000
PTRPX	0.6244	0.0000	0.7128	0.9132	0.0000	0.0000	0.0000	18.3668	0.6340	26.9465	0.6337	0.0000	0.0000
DFIN	0.3943	0.0000	0.3055	0.6849	0.0000	0.0000	0.0000	79.2667	5.4667	13.9689	5.2913	0.0000	0.0000
IAEC	0.1314	0.0000	0.2037	0.1522	0.0000	0.0000	0.0000	32.6990	2.5114	35.2143	2.5117	0.0000	0.0000
LKSK	0.0986	0.0000	0.1018	0.1522	0.0000	0.0000	0.0000	0.0137	0.6870	1.1130	1.3409	0.0000	0.0000
CASY	0.0657	0.0000	0.2037	0.0000	0.0000	0.0000	0.0000	4.5750	2.2648	0.0000	0.0000	0.0000	0.0000

Material Aspects:

RSKY:
RSKY is an options broker. RSKY charges WallachBeth Capital $.05 for initiated crosses. Initiated crosses include both a buy and sell, generally resulting in an average charge of $0.025 per contract. In instances where the initiated cross is not fully executed on both the buy and sell, PTR will still charge WallachBeth Capital the $.05 on the larger trade, resulting in potentially higher per contract charges. WallachBeth Capital pays no connectivity fees, has no payment for order flow arrangements with this venue, and does not

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BCEX:
BCEX is an electronic options broker. WallachBeth Capital ISI routes are not held orders to BAML to be executed in various market centers chosen by BAML. BAML charges WallachBeth ISI rates from $0.05 per contract executed depending on the method of access and product, but WallachBeth ISI is generally charged $0.05 per contract for low touch executions. In addition, WallachBeth ISI pays exchange, regulatory, market data and clearing fees related to executions through BAML, and WallachBeth ISI is eligible to receive rebates based on its order volumes routed to BAML.

ZERO:
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DFIN:
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IAEC:
WallachBeth Capital 606 pays exchange, regulatory, market data and clearing fees to IAEC and receives exchange rebates as credits where applicable WallachBeth Capital 606 also receives incremental pricing benefits if certain volume thresholds are met

LKSK:
LKSK is an options broker. LKSK charges WallachBeth Capital $.15 for initiated crosses. Initiated crosses include both a buy and sell, generally resulting in an average charge of $0.075 per contract. In instances where the initiated cross is not fully executed on both the buy and sell, LKSK will still charge WallachBeth Capital the $.15 on the larger trade, resulting in potentially higher per contract charges. WallachBeth Capital pays no connectivity fees, has no payment for order flow arrangements with this venue, and does not receive rebates for providing liquidity, and does not have any arrangements other than those stated above.

CASY:
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August 2025

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.0000	0.0000	0.0000	0.0000	0.0000

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)

August 2025

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.0000	0.0000	0.0000	0.0000	0.0000

Venues

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August 2025

Options

Summary

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100.0000	20.0440	36.1319	43.8242	0.0000

Venues

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RSKY	90.0215	90.3986	91.3462	88.9144	0.0000	-1,225.1792	-6.5760	-4,247.7207	-6.6663	-4,041.8347	-6.6281	0.0000	0.0000
DND	4.6853	0.1812	4.9145	6.4220	0.0000	-47.0500	-4.7003	-1,680.0614	-4.6989	-3,413.4304	-4.6996	0.0000	0.0000
BCEX	2.8255	8.8768	0.6410	1.8349	0.0000	14.8113	0.4650	10.7023	0.4711	16.2282	0.4690	0.0000	0.0000
ZERO	1.6810	0.5435	1.7094	2.1407	0.0000	-0.3686	-0.8775	-43.4700	-0.9417	-105.1312	-0.9426	0.0000	0.0000
PTRPX	0.5365	0.0000	1.1752	0.3058	0.0000	0.0000	0.0000	38.6902	1.2529	13.2404	1.2526	0.0000	0.0000
CASY	0.1788	0.0000	0.1068	0.3058	0.0000	0.0000	0.0000	6.4259	2.3282	39.8407	2.3312	0.0000	0.0000
DFIN	0.0715	0.0000	0.1068	0.0765	0.0000	0.0000	0.0000	0.1061	2.1215	0.0530	1.7679	0.0000	0.0000

Material Aspects:

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September 2025

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.0000	0.0000	0.0000	0.0000	0.0000

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)

September 2025

Non-S&P 500 Stocks

Summary

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0.0000	0.0000	0.0000	0.0000	0.0000

Venues

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September 2025

Options

Summary

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100.0000	25.2496	37.3544	37.3960	0.0000

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RSKY	88.4391	92.8270	87.8993	86.2511	0.0000	-1,170.3928	-5.6492	-3,562.6436	-5.7495	-2,215.0405	-5.6838	0.0000	0.0000
DND	6.5866	0.0000	8.2285	9.1375	0.0000	0.0000	0.0000	-1,315.6270	-3.7987	-2,190.5918	-3.8009	0.0000	0.0000
BCEX	2.2985	6.6104	0.9681	0.8540	0.0000	647.7658	9.5809	181.1892	9.5969	186.0132	9.5982	0.0000	0.0000
ZERO	1.3722	0.0000	2.0329	1.6225	0.0000	0.0000	0.0000	7.1906	0.1181	10.3561	0.1183	0.0000	0.0000
DFIN	0.6861	0.0000	0.5808	1.1956	0.0000	0.0000	0.0000	51.9866	5.1779	41.8289	5.1198	0.0000	0.0000
CASY	0.4460	0.5626	0.0968	0.6832	0.0000	23.1221	2.1609	6.5072	2.1618	37.7415	2.1591	0.0000	0.0000
PTRPX	0.1715	0.0000	0.1936	0.2562	0.0000	0.0000	0.0000	7.6841	1.2764	19.2103	1.2781	0.0000	0.0000

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