

WallachBeth Capital 606 - Held NMS Stocks and Options Order Routing Public Report

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1st Quarter, 2025

January 2025

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.0000	0.0000	0.0000	0.0000	0.0000

Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)

January 2025

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.0000	0.0000	0.0000	0.0000	0.0000

Venues

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January 2025

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.0000	30.7354	32.9337	36.3309	0.0000

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
RSKY	67.5203	23.1602	82.5048	88.0682	0.0000	-442.0211	-5.8353	-3,007.2563	-5.9032	-3,372.4749	-5.8911	0.0000	0.0000
BCEX	26.7958	76.5152	9.0822	4.5455	0.0000	-1,479.0702	-6.9170	-1,115.5978	-7.1102	-464.1230	-7.0923	0.0000	0.0000
DND	3.3417	0.0000	3.7285	5.5195	0.0000	0.0000	0.0000	-1,479.5582	-3.8186	-1,916.8472	-3.8173	0.0000	0.0000
ZERO	0.9681	0.0000	2.0076	0.8117	0.0000	0.0000	0.0000	-55.9270	-0.5908	-21.5336	-0.5904	0.0000	0.0000
WEXX	0.5934	0.0000	1.8164	0.0000	0.0000	0.0000	0.0000	35.0000	5.1929	0.0000	0.0000	0.0000	0.0000
CASY	0.3748	0.3247	0.2868	0.4870	0.0000	13.6937	0.8284	12.1334	0.8282	24.4992	0.8282	0.0000	0.0000
PTRPX	0.2498	0.0000	0.5736	0.1623	0.0000	0.0000	0.0000	42.7252	1.2391	27.3084	1.2402	0.0000	0.0000
DFIN	0.1562	0.0000	0.0000	0.4058	0.0000	0.0000	0.0000	0.0000	0.0000	57.9672	2.8471	0.0000	0.0000

Material Aspects:

RSKY:
RSKY is an options broker. RSKY charges WallachBeth Capital \$.05 for initiated crosses. Initiated crosses include both a buy and sell, generally resulting in an average charge of \$0.025 per contract. In instances where the initiated cross is not fully executed on both the buy and sell, PTR will still charge WallachBeth Capital the \$.05 on the larger trade, resulting in potentially higher per contract charges. WallachBeth Capital pays no connectivity fees, has no payment for order flow arrangements with this venue, and does not

BCEX:
BCEX is an electronic options broker. WallachBeth Capital ISI routes are not held orders to BAML to be executed in various market centers chosen by BAML. BAML charges WallachBeth ISI rates from \$0.05 per contract executed depending on the method of access and product, but WallachBeth ISI is generally charged \$0.05 per contract for low touch executions. In addition, WallachBeth ISI pays exchange, regulatory, market data and clearing fees related to executions through BAML, and WallachBeth ISI is eligible to receive rebates based on its order volumes routed to BAML.

DND:
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ZERO:
ZERO is an options broker. ZERO charges WallachBeth Capital \$.05 for initiated crosses. Initiated crosses include both a buy and sell, generally resulting in an average charge of \$0.025 per contract. In instances where the initiated cross is not fully executed on both the buy and sell, ZERO will still charge WallachBeth Capital the \$.05 on the larger trade, resulting in potentially higher per contract charges. WallachBeth Capital pays no connectivity fees, has no payment for order flow arrangements with this venue, and does not receive rebates for providing liquidity, and does not have any arrangements other than those stated above. Additionally, in the case of Qualified Contingency Crosses, WallachBeth Capital are eligible to receive a portion of the pass-through rebates from the exchange to the floor broker.

WEXX:
WEXX is an electronic options broker. WallachBeth Capital ISI routes are not held orders to WEXX to be executed in various market centers chosen by WEXX. WEXX charges WallachBeth ISI rates from \$0.05 per contract executed depending on the method of access and product, but WallachBeth ISI is generally charged \$0.05 per contract for low touch executions. In addition, WallachBeth ISI pays exchange, regulatory, market data and clearing fees related to executions through WEXX, and WallachBeth ISI is eligible to receive rebates based on its order volumes routed to WEXX.

CASY:
Casey Securities LLC (CASEY) is an options broker. CASY charges WallachBeth Capital \$.05 for initiated crosses. Initiated crosses include both a buy and sell, generally resulting in an average charge of \$0.025 per contract. In instances where the initiated cross is not fully executed on both the buy and sell, CASY will still charge WallachBeth Capital the \$.05 on the larger trade, resulting in potentially higher per contract charges. WallachBeth Capital pays no connectivity fees, has no payment for order flow arrangements with this venue, and does not receive rebates for providing liquidity, and does not have any arrangements other than those stated above.

PTRPX:
PTR is an options broker. PTR charges WallachBeth Capital \$.05 for initiated crosses. Initiated crosses include both a buy and sell, generally resulting in an average charge of \$0.025 per contract. In instances where the initiated cross is not fully executed on both the buy and sell, PTR will still charge WallachBeth Capital the \$.05 on the larger trade, resulting in potentially higher per contract charges. WallachBeth Capital pays no connectivity fees, has no payment for order flow arrangements with this venue, and does not

DFIN:
Dash Financial Technologies LLC (DFIN), is an electronic options broker. WallachBeth Capital ISI routes are not held orders to DFIN to be executed in various market centers chosen by DFIN. DFIN charges WallachBeth ISI rates from \$0.05 per contract executed depending on the method of access and product, but WallachBeth ISI is generally charged \$0.05 per contract for low touch executions. In addition, WallachBeth ISI pays exchange, regulatory, market data and clearing fees related to executions through DFIN, and WallachBeth ISI is eligible to receive rebates based on its order volumes routed to DFIN.

February 2025

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.0000	0.0000	0.0000	0.0000	0.0000

Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)

February 2025

Non-S&P 500 Stocks

Summary

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0.0000	0.0000	0.0000	0.0000	0.0000

Venues

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February 2025

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.0000	30.3950	30.4930	39.1120	0.0000

Venues

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RSKY	85.6538	68.0103	92.7474	92.9636	0.0000	-1,655.9727	-5.8371	-4,032.8943	-5.9093	-5,077.4473	-5.8962	0.0000	0.0000
BCEX	10.5106	31.9897	2.1331	1.4311	0.0000	-1,288.4994	-7.0084	-262.4641	-7.1051	-132.6270	-7.0621	0.0000	0.0000
DND	2.9141	0.0000	3.0717	4.8301	0.0000	0.0000	0.0000	-1,267.7177	-3.8183	-901.7557	-3.8094	0.0000	0.0000
ZERO	0.3985	0.0000	0.5119	0.5963	0.0000	0.0000	0.0000	-19.1831	-0.5910	-21.7527	-0.5905	0.0000	0.0000
DFIN	0.3487	0.0000	1.1092	0.0596	0.0000	0.0000	0.0000	57.9672	2.8360	68.4989	2.8529	0.0000	0.0000
PTRPX	0.1245	0.0000	0.3413	0.0596	0.0000	0.0000	0.0000	25.3223	1.2389	6.7030	1.2390	0.0000	0.0000
CASY	0.0498	0.0000	0.0853	0.0596	0.0000	0.0000	0.0000	37.5953	0.8297	37.5953	0.8297	0.0000	0.0000

Material Aspects:

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RSKY is an options broker. RSKY charges WallachBeth Capital \$.05 for initiated crosses. Initiated crosses include both a buy and sell, generally resulting in an average charge of \$0.025 per contract. In instances where the initiated cross is not fully executed on both the buy and sell, PTR will still charge WallachBeth Capital the \$.05 on the larger trade, resulting in potentially higher per contract charges. WallachBeth Capital pays no connectivity fees, has no payment for order flow arrangements with this venue, and does not

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CASY:
Casey Securities LLC (CASEY) is an options broker. CASY charges WallachBeth Capital \$.05 for initiated crosses. Initiated crosses include both a buy and sell, generally resulting in an average charge of \$0.025 per contract. In instances where the initiated cross is not fully executed on both the buy and sell, CASY will still charge WallachBeth Capital the \$.05 on the larger trade, resulting in potentially higher per contract charges. WallachBeth Capital pays no connectivity fees, has no payment for order flow arrangements with this venue, and does not receive rebates for providing liquidity, and does not have any arrangements other than those stated above.

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.0000	0.0000	0.0000	0.0000	0.0000

Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
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March 2025

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.0000	0.0000	0.0000	0.0000	0.0000

Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
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March 2025

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.0000	29.5315	30.0994	40.3691	0.0000

Venues

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RSKY	88.3436	92.4138	84.7390	87.6083	0.0000	-3,163.3840	-5.9146	-4,716.9731	-5.9569	-4,285.4604	-5.9210	0.0000	0.0000
DND	4.1140	0.0000	6.5596	5.6326	0.0000	0.0000	0.0000	-1,689.7551	-3.8182	-1,378.8746	-3.8156	0.0000	0.0000
BCEX	3.5727	6.8966	2.5435	1.7331	0.0000	-317.6181	-7.0582	-332.4260	-7.1244	-193.2177	-7.1010	0.0000	0.0000
ZERO	2.2735	0.3448	3.2129	3.1196	0.0000	-6.8976	-0.5905	-81.4038	-0.5910	-155.5962	-0.5913	0.0000	0.0000
PTRPX	0.7218	0.0000	1.6064	0.6932	0.0000	0.0000	0.0000	61.1211	1.2383	39.2123	1.2382	0.0000	0.0000
CASY	0.6857	0.3448	0.8032	0.8666	0.0000	23.0800	0.8290	28.3832	0.8285	22.1588	0.8268	0.0000	0.0000
PTR	0.1444	0.0000	0.2677	0.1733	0.0000	0.0000	0.0000	-2.3599	-0.2355	-2.3599	-0.2355	0.0000	0.0000
IAEC	0.0722	0.0000	0.0000	0.1733	0.0000	0.0000	0.0000	0.0000	0.0000	15.0000	2.4917	0.0000	0.0000
DFIN	0.0361	0.0000	0.1339	0.0000	0.0000	0.0000	0.0000	86.1088	2.8532	80.4291	2.8541	0.0000	0.0000
TJMW	0.0361	0.0000	0.1339	0.0000	0.0000	0.0000	0.0000	14.7525	14.6064	0.0000	0.0000	0.0000	0.0000

Material Aspects:

RSKY:

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PTRPX:

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CASY:

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IAEC:

WallachBeth Capital 606 pays exchange, regulatory, market data and clearing fees to IAEC and receives exchange rebates as credits where applicable WallachBeth Capital 606 also receives incremental pricing benefits if certain volume thresholds are met

DFIN:

Dash Financial Technologies LLC (DFIN), is an electronic options broker. WallachBeth Capital ISI routes are not held orders to DFIN to be executed in various market centers chosen by DFIN. DFIN charges WallachBeth ISI rates from \$0.05 per contract executed depending on the method of access and product, but WallachBeth ISI is generally charged \$0.05 per contract for low touch executions. In addition, WallachBeth ISI pays exchange, regulatory, market data and clearing fees related to executions through DFIN, and WallachBeth ISI is eligible to receive rebates based on its order volumes routed to DFIN.

TJMW:

TJM is an options broker. TJM charges WallachBeth Capital \$.15 for initiated crosses. Initiated crosses include both a buy and sell, generally resulting in an average charge of \$0.075 per contract. In instances where the initiated cross is not fully executed on both the buy and sell, TJM will still charge WallachBeth Capital the \$.15 on the larger trade, resulting in potentially higher per contract charges. WallachBeth Capital pays no connectivity fees, has no payment for order flow arrangements with this venue, and does not receive rebates for providing liquidity, and does not have any arrangements other than those stated above.

