WallachBeth Capital 606 - Held NMS Stocks and Options Order Routing Public Report Generated on Tue Apr 30 2024 12:53:47 GMT-0400 (Eastern Daylight Time)

1st Quarter, 2024

S&P 500 Summary	Stocks												
Non-Directed as % of All		Market Orde Non-Directe		Marketable Limit Orders as % of Non- Directed Orders	Orders		Other Orders as % of Non-Directed Orders						
	0.0000		0.0000	0.0000		0.0000	0.0000						
Venue - Non- directed	Non- Directed Orders (%)	Market Orders	Marketab Limit Orde		Other Orders	Net Payment	Net Payment Paid/	Net Payment Paid/	Net Payment Paid/	Net Payment Paid/	Net Payment Paid/	Net Payment	Net Payment Paid
Order Flow Material As	spects:	(%)		Limit Orders (%)	(%)	Paid/Received for Market Orders(USD)	Received for Market Orders(cents per hundred shares)	Received for Marketable Limit Orders(USD)	Received for Marketable Limit Orders(cents per hundred shares)	Received for Non- Marketable Limit Orders(USD)	Received for Non- Marketable Limit Orders(cents per hundred shares)	Paid/Received for Other Orders(USD)	Received for Other Orders(cents per hundred shares)
Alaterial As January Non-S&P	spects: y 2024 - 500 Sto	ocks		Limit Orders (%)	(%)	Market Orders(USD)	Orders(cents per hundred shares)	Marketable Limit	Marketable Limit Orders(cents per	Marketable Limit	Received for Non- Marketable Limit Orders(cents per	Other	Orders(cents per
Alaterial As January Non-S&P	spects: y 2024 2 500 Sto		rs as % of	Limit Orders	Non-Ma	Market Orders(USD)	Orders(cents per	Marketable Limit	Marketable Limit Orders(cents per	Marketable Limit	Received for Non- Marketable Limit Orders(cents per	Other	Orders(cents per
Material As January Non-S&P Summary Non-Directed	spects: y 2024 2 500 Sto	ocks	rs as % of	Limit Orders (%) Marketable Limit Orders as % of Non-	Non-Mi Orders	Market Orders(USD) arketable Limit s as % of Non-	Orders (cents per hundred shares)	Marketable Limit	Marketable Limit Orders(cents per	Marketable Limit	Received for Non- Marketable Limit Orders(cents per	Other	Orders(cents per
Material As January Non-S&P Summary Non-Directed	spects: y 2024 500 Sto	ocks	rs as % of d Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Mi Orders	Market Orders(USD)	Orders (cents per hundred shares) Other Orders as % of Non-Directed Orders	Marketable Limit	Marketable Limit Orders(cents per	Marketable Limit	Received for Non- Marketable Limit Orders(cents per	Other	Orders(cents per

January 2024

Options Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.0000	0.0000	100.0000	0.0000	0.0000

Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
CDRG	100.0000	0.0000	100.0000	0.0000	0.0000	0.0000	0.0000	-29.6709	42,387.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

Citadel is an options broker. CDRG charges WallachBeth Capital \$.00 for initiated crosses. Initiated crosses include both a buy and sell, generally resulting in an average charge of \$0.00 per contract. WallachBeth Capital pays no connectivity fees, has no payment for order flow arrangements with this venue, and does not receive rebates for providing liquidity, and does not have any arrangements other than those stated above.

February 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.0000	0.0000	0.0000	0.0000	0.0000

Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
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Material Aspects:

February 2024

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.0000	0.0000	0.0000	0.0000	0.0000

Venues

Material Aspects:

February 2024

Options

Summary

	Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
1	100.0000	0.0000	93.4853	6.5147	0.0000

Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
CDRG	100.0000	0.0000	100.0000	100.0000	0.0000	0.0000	0.0000	-12.2412	40,804.0000	-0.8085	2,695.0000	0.0000	0.0000

Material Aspects:

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March 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.0000	0.0000	0.0000	0.0000	0.0000

Venues

Material Aspects:
March 2024

Non-S&P 500 Stocks

Summary

	Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
1	0.0000	0.0000	0.0000	0.0000	0.0000

Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%) Market Order (%)		Orders Limit Order		her lers Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
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Material Aspects:

March 2024

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders	
100.0000	0.0000	99.2674	0.7326	0.0000	

Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
CDRG	100.0000	0.0000	100.0000	100.0000	0.0000	0.0000	0.0000	-12.1590	40,530.0000	-0.0900	300.0000	0.0000	0.0000

Material Aspects:

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