

NewEdge Capital Group - Held NMS Stocks and Options Order Routing Public Report

Generated on Thu Jan 30 2025 04:04:50 GMT-0500 (Eastern Standard Time)

4th Quarter, 2024

October 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	98.38	0.50	1.12	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	33.81	33.76	35.00	37.78	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
VIRTU Americas, LLC(NITE)	19.63	19.80	10.00	8.89	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
G1 Execution Services, LLC(ETMM)	19.18	19.27	15.00	13.33	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Jane Street Capital, LLC(JNST)	15.95	16.06	0.00	13.33	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
XSTM	5.10	5.03	30.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Two Sigma Securities, LLC(SOHO)	1.62	1.62	5.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
HRTF	1.02	1.04	0.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
StoneX Financial INC. (INTL)	1.02	1.01	5.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
UBS Securities, LLC(UBSS)	0.90	0.91	0.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

Citadel Securities LLC(CDRG):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to Citadel Securities LLC(CDRG) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Two Sigma Securities, LLC(SOHO)	1.32	1.36	0.99	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
StoneX Financial INC. (INTL)	1.22	1.15	1.98	3.77	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
HRTF	0.91	0.95	0.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
UBS Securities, LLC(UBSS)	0.87	0.90	0.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
ANON	0.63	0.00	5.94	22.01	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

Citadel Securities LLC(CDRG):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to Citadel Securities LLC(CDRG) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

VIRTU Americas, LLC(NITE):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to VIRTU Americas, LLC(NITE) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

G1 Execution Services, LLC(ETMM):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to G1 Execution Services, LLC(ETMM) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

Jane Street Capital, LLC(JNST):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to Jane Street Capital, LLC(JNST) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

XSTM:

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to XSTM and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

Two Sigma Securities, LLC(SOHO):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to Two Sigma Securities, LLC(SOHO) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

StoneX Financial INC.(INTL):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to StoneX Financial INC.(INTL) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

HRTF:

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to HRTF and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

UBS Securities, LLC(UBSS):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to UBS Securities, LLC(UBSS) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

ANON:

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to ANON and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

October 2024

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Execution Services(CES)	50.73	0.00	0.00	0.00	50.73	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
GEB SECURITIES, INC.(GEB)	21.71	0.00	0.00	0.00	21.71	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Dash Financial LLC(DFIN)	17.07	0.00	0.00	0.00	17.07	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Morgan Stanley and co.(MSCO)	5.85	0.00	0.00	0.00	5.85	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
WOLVERINE EXECUTION SERVICES, LLC(WEXX)	3.90	0.00	0.00	0.00	3.90	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Interactive Brokers(IB)	0.73	0.00	0.00	0.00	0.73	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

Citadel Execution Services(CES):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to Citadel Execution Services(CES) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

GEB SECURITIES, INC.(GEB):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to GEB SECURITIES, INC.(GEB) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

Dash Financial LLC(DFIN):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to Dash Financial LLC(DFIN) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

Morgan Stanley and co.(MSCO):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to Morgan Stanley and co.(MSCO) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

WOLVERINE EXECUTION SERVICES, LLC(WEXX):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to WOLVERINE EXECUTION SERVICES, LLC(WEXX) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

Interactive Brokers(IB):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to Interactive Brokers(IB) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

November 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	97.84	0.48	1.29	0.39

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	39.11	39.03	19.23	34.29	100.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
VIRTU Americas, LLC(NITE)	19.05	19.26	11.54	11.43	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
G1 Execution Services, LLC(ETMM)	16.93	17.09	7.69	12.86	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Jane Street Capital, LLC(JNST)	13.88	13.94	19.23	11.43	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
XSTM	3.38	3.43	3.85	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
HRTF	2.27	2.30	0.00	1.43	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Two Sigma Securities, LLC(SOHO)	1.66	1.70	0.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
UBS Securities, LLC(UBSS)	1.02	1.00	0.00	2.86	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
StoneX Financial INC. (INTL)	0.90	0.91	0.00	1.43	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

Citadel Securities LLC(CDRG):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to Citadel Securities LLC(CDRG) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

VIRTU Americas, LLC(NITE):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to VIRTU Americas, LLC(NITE) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

G1 Execution Services, LLC(ETMM):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to G1 Execution Services, LLC(ETMM) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

Jane Street Capital, LLC(JNST):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to Jane Street Capital, LLC(JNST) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

XSTM:

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to XSTM and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

HRTF:

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to HRTF and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

Two Sigma Securities, LLC(SOHO):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to Two Sigma Securities, LLC(SOHO) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

UBS Securities, LLC(UBSS):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to UBS Securities, LLC(UBSS) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

StoneX Financial INC. (INTL):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to StoneX Financial INC.(INTL) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

November 2024

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	95.18	1.77	2.98	0.06

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cent per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cent per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cent per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cent per hundred shares)
Citadel Securities LLC(CDRG)	37.43	37.69	30.07	32.78	80.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
VIRTU Americas, LLC(NITE)	22.05	22.44	14.69	14.11	20.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
G1 Execution Services, LLC(ETMM)	16.11	16.46	12.59	7.47	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Jane Street Capital, LLC(JNST)	13.36	13.74	13.99	1.24	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
XSTM	3.26	3.37	2.80	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
HRTF	1.84	1.87	0.70	1.66	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Two Sigma Securities, LLC(SOHO)	1.70	1.72	2.80	0.41	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
StoneX Financial INC. (INTL)	1.06	0.98	3.50	2.49	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
UBS Securities, LLC(UBSS)	0.92	0.91	0.70	1.24	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
ANON	0.85	0.00	8.39	23.65	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

Citadel Securities LLC(CDRG):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to Citadel Securities LLC(CDRG) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

VIRTU Americas, LLC(NITE):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to VIRTU Americas, LLC(NITE) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

G1 Execution Services, LLC(ETMM):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to G1 Execution Services, LLC(ETMM) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

Jane Street Capital, LLC(JNST):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to Jane Street Capital, LLC(JNST) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

XSTM:

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to XSTM and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

HRTF:

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to HRTF and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

Two Sigma Securities, LLC(SOHO):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to Two Sigma Securities, LLC(SOHO) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

StoneX Financial INC.(INTL):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to StoneX Financial INC.(INTL) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

UBS Securities, LLC(UBSS):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to UBS Securities, LLC(UBSS) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

ANON:

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to ANON and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

November 2024

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Execution Services(CES)	51.30	0.00	0.00	0.00	51.30	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
GEB SECURITIES, INC.(GEB)	23.44	0.00	0.00	0.00	23.44	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Dash Financial LLC(DFIN)	13.28	0.00	0.00	0.00	13.28	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
WOLVERINE EXECUTION SERVICES, LLC(WEXX)	5.99	0.00	0.00	0.00	5.99	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Morgan Stanley and co.(MSCO)	5.21	0.00	0.00	0.00	5.21	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Interactive Brokers(IB)	0.52	0.00	0.00	0.00	0.52	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

Citadel Execution Services(CES):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to Citadel Execution Services(CES) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

GEB SECURITIES, INC.(GEB):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to GEB SECURITIES, INC.(GEB) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

Dash Financial LLC(DFIN):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to Dash Financial LLC(DFIN) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

WOLVERINE EXECUTION SERVICES, LLC(WEXX):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to WOLVERINE EXECUTION SERVICES, LLC(WEXX) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

Morgan Stanley and co.(MSCO):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to Morgan Stanley and co.(MSCO) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

Interactive Brokers(IB):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to Interactive Brokers(IB) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

December 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	98.85	0.28	0.82	0.04

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	35.72	35.75	35.71	31.71	50.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Jane Street Capital, LLC(JNST)	18.33	18.38	21.43	12.20	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
VIRTU Americas, LLC(NITE)	18.07	18.22	7.14	4.88	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
G1 Execution Services, LLC(ETMM)	14.71	14.68	14.29	19.51	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
XSTM	4.12	4.17	0.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
HRTF	3.86	3.86	0.00	4.88	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Two Sigma Securities, LLC(SOHO)	2.51	2.50	7.14	0.00	50.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
StoneX Financial INC. (INTL)	0.74	0.75	0.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
UBS Securities, LLC(UBSS)	0.58	0.59	0.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
XSTM	3.24	3.21	7.18	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Two Sigma Securities, LLC(SOHO)	2.38	2.43	1.44	1.16	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
ANON	0.83	0.00	11.96	27.17	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
StoneX Financial INC. (INTL)	0.78	0.71	2.87	1.73	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

Citadel Securities LLC(CDRG):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to Citadel Securities LLC(CDRG) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

VIRTU Americas, LLC(NITE):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to VIRTU Americas, LLC(NITE) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

Jane Street Capital, LLC(JNST):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to Jane Street Capital, LLC(JNST) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

G1 Execution Services, LLC(ETMM):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to G1 Execution Services, LLC(ETMM) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

HRTF:

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to HRTF and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

XSTM:

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to XSTM and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

Two Sigma Securities, LLC(SOHO):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to Two Sigma Securities, LLC(SOHO) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

ANON:

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to ANON and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

StoneX Financial INC.(INTL):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to StoneX Financial INC.(INTL) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

December 2024

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Execution Services(CES)	40.81	0.00	0.00	0.00	40.81	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Dash Financial LLC(DFIN)	22.87	0.00	0.00	0.00	22.87	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
GEB SECURITIES, INC.(GEB)	20.18	0.00	0.00	0.00	20.18	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
WOLVERINE EXECUTION SERVICES, LLC(WEXX)	7.85	0.00	0.00	0.00	7.85	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Morgan Stanley and co.(MSCO)	7.40	0.00	0.00	0.00	7.40	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Interactive Brokers(IB)	0.22	0.00	0.00	0.00	0.22	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

Citadel Execution Services(CES):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to Citadel Execution Services(CES) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

Dash Financial LLC(DFIN):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to Dash Financial LLC(DFIN) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

GEB SECURITIES, INC.(GEB):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to GEB SECURITIES, INC.(GEB) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

WOLVERINE EXECUTION SERVICES, LLC(WEXX):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to WOLVERINE EXECUTION SERVICES, LLC(WEXX) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

Morgan Stanley and co.(MSCO):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to Morgan Stanley and co.(MSCO) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met

Interactive Brokers(IB):

NewEdge Capital Group pays exchange, regulatory, market data and clearing fees to Interactive Brokers(IB) and receives exchange rebates as credits where applicable NewEdge Capital Group also receives incremental pricing benefits if certain volume thresholds are met