





Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Jane Street Capital, LLC(JNST)	14.87	15.73	9.52	1.94	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
National Financial Services LLC(XSTM)	2.94	3.11	2.72	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
ANON	1.09	0.00	13.61	15.28	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
StoneX Financial INC.(INTL)	1.08	1.11	0.68	0.56	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
UBS Securities, LLC(UBSS)	0.90	0.96	0.00	0.28	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
GTS Mischler(GTSM)	0.48	0.47	0.00	0.83	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Two Sigma Securities, LLC(SOHO)	0.44	0.46	0.68	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

**Material Aspects:**

Citadel Securities LLC(CDRG):

NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to CDRG and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

VIRTU Americas, LLC(NITE):

NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to NITE and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

G1 Execution Services, LLC(ETMM):

NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to ETMM and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

Jane Street Capital, LLC(JNST):

NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to JNST and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

National Financial Services LLC(XSTM):

NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to XSTM and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

ANON:

NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to ANON and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

StoneX Financial INC.(INTL):

NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to INTL and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

UBS Securities, LLC(UBSS):

NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to UBSS and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

GTS Mischler(GTSM):

NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to GTSM and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

Two Sigma Securities, LLC(SOHO):

NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to SOHO and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

-----  
**July 2024**

**Options**

## Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

## Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Execution Services(CES)	48.60	0.00	0.00	0.00	48.60	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Dash Financial LLC(DFIN)	19.87	0.00	0.00	0.00	19.87	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
GEB SECURITIES, INC.(GEB)	18.36	0.00	0.00	0.00	18.36	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Morgan Stanley and co.(MSCO)	6.48	0.00	0.00	0.00	6.48	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Interactive Brokers(IB)	3.89	0.00	0.00	0.00	3.89	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
WOLVERINE EXECUTION SERVICES, LLC(WEXX)	1.94	0.00	0.00	0.00	1.94	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Unknown	0.86	0.00	0.00	0.00	0.86	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

## Material Aspects:

Citadel Execution Services(CES):

NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to CES and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

Dash Financial LLC(DFIN):

NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to DFIN and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

GEB SECURITIES, INC.(GEB):

NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to GEB and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

Morgan Stanley and co.(MSCO):

NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to MSCO and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

Interactive Brokers(IB):

NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to IB and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

WOLVERINE EXECUTION SERVICES, LLC(WEXX):

NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to WEXX and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

Unknown:

## August 2024

---

## S&P 500 Stocks

## Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	98.17	0.53	1.11	0.19

## Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	30.11	30.11	31.82	28.26	37.50	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
VIRTU Americas, LLC(NITE)	26.35	26.50	22.73	8.70	62.50	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Jane Street Capital, LLC(JNST)	21.25	21.35	18.18	17.39	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
G1 Execution Services, LLC(ETMM)	13.57	13.66	9.09	10.87	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
National Financial Services LLC(XSTM)	3.78	3.85	0.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
UBS Securities, LLC(UBSS)	1.32	1.32	0.00	2.17	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
StoneX Financial INC. (INTL)	0.99	1.01	0.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Two Sigma Securities, LLC(SOHO)	0.65	0.66	0.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Unknown	0.55	0.47	18.18	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
HRT FINANCIAL LP(HRTF)	0.31	0.32	0.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

## Material Aspects:

Citadel Securities LLC(CDRG):

NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to CDRG and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

VIRTU Americas, LLC(NITE):

NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to NITE and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

Jane Street Capital, LLC(JNST):

NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to JNST and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

G1 Execution Services, LLC(ETMM):

NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to ETMM and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

National Financial Services LLC(XSTM):

NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to XSTM and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).









Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Jane Street Capital, LLC(JNST)	19.29	19.27	8.33	26.67	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
G1 Execution Services, LLC(ETMM)	18.82	18.92	0.00	16.67	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
National Financial Services LLC(XSTM)	4.41	4.47	0.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
UBS Securities, LLC(UBSS)	1.25	1.26	0.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Two Sigma Securities, LLC(SOHO)	0.93	0.94	0.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
StoneX Financial INC.(INTL)	0.87	0.88	0.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
PERSHING ADVISOR SOLUTIONS, LLC(PASL)	0.32	0.32	0.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
HRT FINANCIAL LP(HRTF)	0.20	0.21	0.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

**Material Aspects:**

Citadel Securities LLC(CDRG):

NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to CDRG and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

VIRTU Americas, LLC(NITE):

NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to NITE and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

Jane Street Capital, LLC(JNST):

NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to JNST and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

G1 Execution Services, LLC(ETMM):

NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to ETMM and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

National Financial Services LLC(XSTM):

NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to XSTM and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

UBS Securities, LLC(UBSS):

NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to UBSS and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

Two Sigma Securities, LLC(SOHO):

NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to SOHO and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

StoneX Financial INC.(INTL):

NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to INTL and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

PERSHING ADVISOR SOLUTIONS, LLC(PASL):

NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to PASL and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

HRT FINANCIAL LP(HRTF):

NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to HRTF and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

-----

# September 2024

## Non-S&P 500 Stocks

### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	96.68	1.95	1.37	0.00

### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	31.01	31.09	26.52	31.18	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
VIRTU Americas, LLC(NITE)	22.31	22.53	18.94	11.83	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
G1 Execution Services, LLC(ETMM)	18.99	19.21	14.39	9.68	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Jane Street Capital, LLC(JNST)	18.91	19.14	18.94	3.23	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
National Financial Services LLC(XSTM)	2.95	2.90	7.58	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
StoneX Financial INC. (INTL)	1.30	1.25	1.52	4.30	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
UBS Securities, LLC(UBSS)	1.15	1.16	1.52	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Two Sigma Securities, LLC(SOHO)	0.94	0.96	0.76	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
HRT FINANCIAL LP(HRTF)	0.59	0.61	0.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
ANON	0.49	0.00	9.09	22.58	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

### Material Aspects:

Citadel Securities LLC(CDRG):

NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to CDRG and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

VIRTU Americas, LLC(NITE):

NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to NITE and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

G1 Execution Services, LLC(ETMM):

NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to ETMM and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).



**Material Aspects:**

Citadel Execution Services(CES):

NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to CES and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

GEB SECURITIES, INC.(GEB):

NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to GEB and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

Dash Financial LLC(DFIN):

NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to DFIN and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

Morgan Stanley and co.(MSCO):

NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to MSCO and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

WOLVERINE EXECUTION SERVICES, LLC(WEXX):

NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to WEXX and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).

Unknown:

Interactive Brokers(IB):

NewEdge Securities does not pay any exchange, regulatory, market data and clearing fees to IB and does not receive any exchange rebates or credits (e.g., payment for order flow, soft dollars, etc.).