SEC Rule 606 Report - Ladenburg Thalmann & Co. Inc ("LTCO") - 4th Qtr, 2019

Securities Listed on New York Stock Exchange Euronext

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100%	80%	19%	1%
CDRG	33.61%	34.99%	27.87%	31.25%
NITE	19.69%	20.86%	13.35%	56.25%
NFSC	11.35%	12.25%	7.96%	0.00%
VALR	8.47%	9.05%	6.32%	0.00%
NSDQ	6.61%	3.37%	20.61%	0.00%
NYSE	5.59%	6.40%	2.34%	0.00%
CPEM	4.66%	5.57%	0.94%	0.00%
ETMM	3.81%	4.31%	1.87%	0.00%
EDGX	1.55%	0.00%	8.20%	0.00%
INET	1.24%	0.00%	6.56%	0.00%

100.00% of total customer orders were non-directed orders.

Securities Listed on The Nasdaq Stock Market

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100%	65%	34%	1%
CDRG	29.98%	38.00%	15.35%	8.00%
NITE	17.87%	21.87%	9.58%	48.00%
NSDQ	12.01%	6.93%	21.90%	0.00%
VALR	9.89%	7.03%	15.54%	0.00%
NFSC	9.83%	12.88%	4.30%	0.00%
EDGX	4.73%	0.00%	13.78%	0.00%
ETMM	3.62%	5.02%	1.08%	0.00%
CPEM	3.32%	3.26%	3.52%	0.00%
LTCO	2.41%	2.33%	2.54%	4.00%
UBSS	1.64%	1.45%	1.08%	40.00%

100.00% of total customer orders were non-directed orders.

Securities Listed on NYSE Amex or Regional Exchanges

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100%	84%	16%	0%
CDRG	47.25%	50.09%	32.73%	0.00%
NITE	28.90%	31.15%	16.36%	100.00%
NFSC	7.51%	7.23%	9.09%	0.00%
ETMM	5.06%	5.51%	2.73%	0.00%
VALR	3.76%	2.93%	8.18%	0.00%
EDGX	2.46%	0.00%	15.46%	0.00%
NSDQ	1.59%	0.34%	8.18%	0.00%
SOHO	1.30%	1.38%	0.91%	0.00%
AMEX	1.01%	0.69%	2.73%	0.00%
UBSS	0.58%	0.52%	0.91%	0.00%

100.00% of total customer orders were non-directed orders.

Exchange-Listed Options

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100%	21%	79%	0%
CBOE	19.90%	22.50%	19.25%	0.00%
ARCA	13.64%	3.33%	16.39%	0.00%
PHLX	11.90%	15.00%	11.11%	0.00%
NOM	11.03%	2.08%	13.42%	0.00%
EDGX	7.56%	3.75%	8.58%	0.00%
ASE	7.56%	36.25%	0.00%	0.00%
ISEG	6.26%	0.83%	7.70%	0.00%
BATS	4.43%	2.50%	4.95%	0.00%
NFSC	4.17%	3.75%	4.29%	0.00%
MIAX	3.39%	2.50%	3.63%	0.00%

100.00% of total customer orders were non-directed orders.