

SEC Rule 606 Report - Ladenburg Thalmann & Co. Inc ("LTCO") - 3rd Qtr, 2019

Securities Listed on New York Stock Exchange Euronext

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100%	81%	18%	1%
CDRG	36.19%	38.40%	25.10%	62.50%
NITE	18.67%	19.84%	12.96%	29.17%
VALR	9.41%	9.85%	7.90%	0.00%
NFSC	9.15%	10.63%	3.04%	0.00%
ETMM	6.49%	7.30%	3.24%	0.00%
NSDQ	6.12%	2.60%	22.07%	0.00%
CPEM	4.09%	4.65%	1.82%	0.00%
NYSE	3.62%	3.51%	4.25%	0.00%
EDGX	1.81%	0.00%	9.92%	0.00%
SOHO	1.62%	1.64%	1.22%	8.33%

100.00% of total customer orders were non-directed orders.

Securities Listed on The Nasdaq Stock Market

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100%	71%	28%	1%
CDRG	27.86%	34.33%	10.56%	88.24%
VALR	13.70%	10.17%	22.77%	0.00%
NITE	13.51%	17.25%	4.29%	5.88%
NSDQ	11.51%	5.55%	26.62%	0.00%
NFSC	7.38%	9.90%	1.21%	0.00%
ETMM	6.38%	8.23%	1.87%	0.00%
LTCO	5.79%	5.81%	5.72%	5.88%
EDGX	4.07%	0.00%	14.30%	0.00%
CPEM	4.07%	4.45%	3.19%	0.00%
SOHO	1.50%	1.94%	0.44%	0.00%

100.00% of total customer orders were non-directed orders.

Securities Listed on NYSE Amex or Regional Exchanges

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100%	80%	20%	0%
CDRG	44.49%	50.93%	18.24%	0.00%
NITE	23.79%	26.70%	11.95%	0.00%
ETMM	7.81%	8.80%	3.77%	0.00%
VALR	3.72%	2.93%	6.92%	0.00%
NSDQ	2.35%	0.93%	8.18%	0.00%
NFSC	2.23%	2.32%	1.89%	0.00%
EDGX	2.11%	0.00%	10.69%	0.00%
AMEX	2.11%	0.62%	8.18%	0.00%
SOHO	2.11%	2.32%	1.26%	0.00%
ARCA	1.61%	0.15%	7.55%	0.00%

100.00% of total customer orders were non-directed orders.

Exchange-Listed Options

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100%	25%	75%	0%
CBOE	19.80%	25.30%	18.01%	0.00%
PHLX	16.80%	23.81%	14.52%	0.00%
NOM	12.42%	1.19%	16.07%	0.00%
ARCA	9.86%	0.89%	12.78%	0.00%
ISEG	7.01%	0.30%	9.20%	0.00%
ASE	6.06%	24.70%	0.00%	0.00%
EDGX	5.55%	1.49%	6.87%	0.00%
BOX	4.75%	7.44%	3.87%	0.00%
BATS	4.09%	4.17%	4.07%	0.00%
MIAX	3.51%	2.98%	3.68%	0.00%

100.00% of total customer orders were non-directed orders.