

SEC Rule 606 Report - Ladenburg Thalmann & Co. Inc ("LTCO") - 2nd Qtr, 2019

Securities Listed on New York Stock Exchange Euronext

| Route Venues | Total (%) | Market (%) | Limit (%) | Other (%) |
|--------------|-------------|------------|------------|-----------|
| Total | 100% | 82% | 15% | 2% |
| CDRG | 35.19% | 37.30% | 18.55% | 68.75% |
| NITE | 11.55% | 12.56% | 6.27% | 10.94% |
| DTTX | 11.17% | 11.34% | 12.03% | 0.00% |
| NFSC | 9.24% | 10.78% | 2.51% | 0.00% |
| ETMM | 8.43% | 9.37% | 4.01% | 4.69% |
| NSDQ | 7.20% | 2.95% | 31.08% | 0.00% |
| CPEM | 4.51% | 5.11% | 2.01% | 0.00% |
| NYSE | 3.58% | 3.70% | 3.51% | 0.00% |
| EDGX | 2.16% | 0.00% | 14.04% | 0.00% |
| LTCO | 2.16% | 2.62% | 0.00% | 0.00% |

100.00% of total customer orders were non-directed orders.

Securities Listed on The Nasdaq Stock Market

| Route Venues | Total (%) | Market (%) | Limit (%) | Other (%) |
|--------------|-------------|------------|------------|-----------|
| Total | 100% | 68% | 31% | 1% |
| CDRG | 30.56% | 38.28% | 12.21% | 78.57% |
| NITE | 11.06% | 14.10% | 4.20% | 19.05% |
| NSDQ | 10.74% | 4.93% | 23.69% | 0.00% |
| DTTX | 10.28% | 6.94% | 17.87% | 0.00% |
| ETMM | 8.08% | 10.60% | 2.83% | 2.38% |
| LTCO | 7.81% | 7.80% | 8.08% | 0.00% |
| NFSC | 6.49% | 8.73% | 1.86% | 0.00% |
| EDGX | 5.28% | 0.00% | 16.90% | 0.00% |
| CPEM | 3.13% | 3.66% | 2.10% | 0.00% |
| SOHO | 2.00% | 2.61% | 0.73% | 0.00% |

100.00% of total customer orders were non-directed orders.

Securities Listed on NYSE Amex or Regional Exchanges

| Route Venues | Total (%) | Market (%) | Limit (%) | Other (%) |
|--------------|-------------|------------|------------|-----------|
| Total | 100% | 75% | 25% | 0% |
| CDRG | 46.77% | 50.78% | 34.77% | 0.00% |
| NITE | 16.54% | 17.62% | 13.28% | 0.00% |
| ETMM | 12.33% | 13.58% | 8.59% | 0.00% |
| NFSC | 4.31% | 4.57% | 3.52% | 0.00% |
| DTTX | 2.84% | 2.61% | 3.52% | 0.00% |
| CDEL | 2.84% | 0.52% | 9.77% | 0.00% |
| UBSS | 2.54% | 2.74% | 1.95% | 0.00% |
| SOHO | 2.35% | 2.61% | 1.56% | 0.00% |
| NSDQ | 2.25% | 1.57% | 4.30% | 0.00% |
| ARCA | 1.66% | 0.52% | 5.08% | 0.00% |

100.00% of total customer orders were non-directed orders.

Exchange-Listed Options

| Route Venues | Total (%) | Market (%) | Limit (%) | Other (%) |
|--------------|-------------|------------|------------|-----------|
| Total | 100% | 25% | 75% | 0% |
| CBOE | 27.54% | 32.58% | 25.96% | 0.00% |
| PHLX | 12.23% | 16.29% | 10.93% | 0.00% |
| ISEG | 7.94% | 0.38% | 10.44% | 0.00% |
| NOM | 7.84% | 0.38% | 10.31% | 0.00% |
| ASE | 7.28% | 29.55% | 0.00% | 0.00% |
| ARCA | 6.72% | 1.52% | 8.45% | 0.00% |
| BOX | 5.98% | 8.33% | 5.22% | 0.00% |
| EDGX | 4.58% | 0.76% | 5.84% | 0.00% |
| BATS | 3.36% | 1.14% | 4.10% | 0.00% |
| MIAX | 3.27% | 1.52% | 3.85% | 0.00% |

100.00% of total customer orders were non-directed orders.