

## SEC Rule 606 Report - Ladenburg Thalmann & Co. Inc ("LTCO") - 1st Qtr, 2019

### Securities Listed on New York Stock Exchange Euronext

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
<b>Total</b>	<b>100%</b>	<b>86%</b>	<b>14%</b>	<b>0%</b>
CDRG	32.62%	35.43%	14.58%	57.14%
DTTX	17.10%	17.79%	13.12%	0.00%
NFSC	11.48%	12.93%	2.62%	0.00%
NITE	10.23%	11.35%	2.62%	42.86%
ETMM	9.63%	10.64%	3.50%	0.00%
NSDQ	5.70%	1.26%	33.53%	0.00%
SOHO	3.49%	3.78%	1.75%	0.00%
NYSE	3.17%	2.61%	6.71%	0.00%
LTCO	1.53%	1.77%	0.00%	0.00%
EDGX	1.45%	0.00%	10.50%	0.00%

100.00% of total customer orders were non-directed orders.

### Securities Listed on The Nasdaq Stock Market

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
<b>Total</b>	<b>100%</b>	<b>68%</b>	<b>31%</b>	<b>1%</b>
CDRG	30.46%	39.11%	10.62%	70.37%
DTTX	11.64%	10.10%	15.27%	0.00%
ETMM	9.33%	12.57%	2.40%	0.00%
NSDQ	9.28%	3.53%	22.09%	0.00%
NITE	9.08%	12.04%	2.48%	14.82%
NFSC	8.60%	11.33%	2.79%	0.00%
EDGX	6.15%	0.00%	19.77%	0.00%
LTCO	5.83%	5.05%	7.52%	7.41%
SOHO	2.94%	3.74%	1.09%	7.41%
INET	1.76%	0.00%	5.66%	0.00%

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### Securities Listed on NYSE Amex or Regional Exchanges

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
<b>Total</b>	<b>100%</b>	<b>76%</b>	<b>24%</b>	<b>0%</b>
CDRG	44.71%	52.40%	20.31%	0.00%
ETMM	14.58%	16.13%	9.65%	0.00%
NITE	13.85%	15.82%	7.61%	0.00%
NFSC	4.01%	4.63%	2.03%	0.00%
SOHO	3.89%	3.99%	3.55%	0.00%
DTTX	3.52%	2.40%	7.11%	0.00%
ARCA	3.16%	0.48%	11.68%	0.00%
INET	1.94%	0.00%	8.12%	0.00%
NSDQ	1.82%	0.64%	5.58%	0.00%
BATS	1.58%	0.00%	6.60%	0.00%

100.00% of total customer orders were non-directed orders.

### Exchange-Listed Options

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
<b>Total</b>	<b>100%</b>	<b>27%</b>	<b>73%</b>	<b>0%</b>
CBOE	24.77%	35.40%	20.75%	0.00%
PHLX	12.68%	18.32%	10.55%	0.00%
NOM	11.15%	0.93%	15.01%	0.00%
ISEG	10.13%	0.00%	13.95%	0.00%
ASE	6.55%	23.91%	0.00%	0.00%
BATS	5.62%	1.24%	7.27%	0.00%
NFSC	4.85%	1.24%	6.21%	0.00%
ARCA	4.77%	0.62%	6.33%	0.00%
BOX	4.17%	6.21%	3.40%	0.00%
MIAX	3.83%	2.80%	4.22%	0.00%

100.00% of total customer orders were non-directed orders.