

SEC Rule 606 Report - Ladenburg Thalmann & Co. Inc ("LTCO") - 3rd Qtr, 2018

Securities Listed on New York Stock Exchange Euronext

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100%	83%	16%	1%
CDRG	30.20%	33.66%	8.51%	100.00%
NITE	21.67%	25.03%	6.19%	0.00%
NFSC	12.51%	14.23%	4.64%	0.00%
DTTX	8.65%	7.04%	17.27%	0.00%
ETMM	8.44%	9.97%	1.29%	0.00%
NSDQ	8.06%	2.16%	38.14%	0.00%
SOHO	2.84%	3.39%	0.26%	0.00%
NYSE	2.67%	2.16%	5.41%	0.00%
EDGX	2.04%	0.00%	12.37%	0.00%
BYXX	0.98%	1.18%	0.00%	0.00%

100.00% of total customer orders were non-directed orders.

Securities Listed on The Nasdaq Stock Market

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100%	69%	30%	1%
CDRG	25.41%	31.06%	10.69%	75.00%
NITE	19.15%	24.15%	7.78%	9.38%
NSDQ	11.67%	6.00%	25.27%	0.00%
NFSC	10.68%	13.86%	3.60%	0.00%
DTTX	8.63%	6.99%	12.73%	0.00%
ETMM	7.05%	8.78%	3.21%	0.00%
LTCO	5.00%	3.79%	7.68%	9.38%
EDGX	4.91%	0.00%	16.52%	0.00%
SOHO	2.74%	3.50%	0.88%	6.25%
INET	1.50%	0.00%	5.05%	0.00%

100.00% of total customer orders were non-directed orders.

Securities Listed on NYSE Amex or Regional Exchanges

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100%	88%	12%	0%
CDRG	33.31%	37.07%	5.00%	100.00%
NITE	27.44%	29.80%	10.63%	0.00%
NFSC	8.87%	9.16%	6.88%	0.00%
ETMM	7.52%	7.88%	5.00%	0.00%
SOHO	3.23%	3.60%	0.63%	0.00%
DTTX	3.01%	1.88%	11.25%	0.00%
AMEX	3.01%	1.37%	15.00%	0.00%
BYXX	2.86%	3.25%	0.00%	0.00%
ARCA	2.26%	1.46%	8.13%	0.00%
NSDQ	1.96%	0.86%	10.00%	0.00%

100.00% of total customer orders were non-directed orders.

Exchange-Listed Options

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100%	19%	81%	0%
PHLX	19.95%	27.68%	18.10%	0.00%
CBOE	19.09%	24.55%	17.79%	0.00%
ISEG	10.83%	0.45%	13.31%	0.00%
NOM	10.15%	0.00%	12.57%	0.00%
NFSC	6.36%	4.46%	6.82%	0.00%
ARCA	5.93%	0.45%	7.24%	0.00%
BOX	4.90%	8.04%	4.15%	0.00%
MIAX	4.82%	4.46%	4.90%	0.00%
ASE	4.47%	23.21%	0.00%	0.00%
BATS	4.21%	0.45%	5.11%	0.00%

100.00% of total customer orders were non-directed orders.