

## SEC Rule 606 Report - Ladenburg Thalmann & Co. Inc ("LTCO") - 2nd Qtr, 2018

### Securities Listed on New York Stock Exchange Euronext

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
<b>Total</b>	<b>100%</b>	<b>83%</b>	<b>16%</b>	<b>1%</b>
NITE	30.53%	34.70%	9.49%	18.75%
CDRG	29.55%	33.00%	9.98%	75.00%
NFSC	9.51%	11.10%	1.70%	0.00%
DTTX	8.80%	6.66%	20.20%	0.00%
NSDQ	5.38%	1.42%	26.03%	0.00%
ETMM	2.79%	3.07%	1.46%	0.00%
SOHO	2.52%	2.93%	0.49%	0.00%
NYSE	2.24%	1.56%	5.84%	0.00%
EDGX	2.12%	0.00%	13.14%	0.00%
BYXX	1.57%	1.89%	0.00%	0.00%

100.00% of total customer orders were non-directed orders.

### Securities Listed on The Nasdaq Stock Market

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
<b>Total</b>	<b>100%</b>	<b>68%</b>	<b>32%</b>	<b>1%</b>
CDRG	25.68%	32.27%	10.29%	78.57%
NITE	24.45%	31.99%	8.82%	3.57%
DTTX	10.55%	7.07%	18.25%	0.00%
NSDQ	10.16%	5.05%	21.37%	0.00%
EDGX	5.55%	0.00%	17.56%	0.00%
NFSC	5.33%	7.43%	0.95%	0.00%
LTCO	4.70%	4.12%	5.88%	7.14%
ETMM	3.14%	4.12%	0.87%	10.71%
SOHO	2.92%	3.76%	1.21%	0.00%
INET	1.83%	0.00%	5.80%	0.00%

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### Securities Listed on NYSE Amex or Regional Exchanges

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
<b>Total</b>	<b>100%</b>	<b>88%</b>	<b>12%</b>	<b>0%</b>
CDRG	31.77%	35.30%	6.49%	0.00%
NITE	31.53%	33.67%	16.23%	0.00%
DTTX	6.13%	4.81%	15.58%	0.00%
ETMM	3.74%	4.08%	1.30%	0.00%
NFSC	3.74%	3.99%	1.95%	0.00%
NSDQ	3.42%	3.00%	6.49%	0.00%
SOHO	3.34%	3.63%	1.30%	0.00%
AMEX	2.95%	2.54%	5.84%	0.00%
BYXX	1.83%	2.09%	0.00%	0.00%
EDGX	1.75%	0.00%	14.29%	0.00%

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### Exchange-Listed Options

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
<b>Total</b>	<b>100%</b>	<b>28%</b>	<b>72%</b>	<b>0%</b>
PHLX	21.35%	26.74%	19.27%	0.00%
CBOE	19.61%	24.81%	17.60%	0.00%
NOM	8.50%	0.00%	11.84%	0.00%
ISEG	8.17%	0.39%	11.23%	0.00%
MIAX	7.41%	9.30%	6.68%	0.00%
BOX	7.30%	8.53%	6.83%	0.00%
ASE	4.47%	15.89%	0.00%	0.00%
ARCA	4.14%	0.00%	5.77%	0.00%
BATS	3.92%	0.78%	5.16%	0.00%
AMEX	3.60%	5.43%	2.88%	0.00%

100.00% of total customer orders were non-directed orders.