

## SEC Rule 606 Report - Ladenburg Thalmann & Co. Inc ("LTCO") - 1st Qtr, 2018

### Securities Listed on New York Stock Exchange Euronext

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
<b>Total</b>	<b>100%</b>	<b>82%</b>	<b>17%</b>	<b>1%</b>
CDRG	28.37%	31.61%	8.84%	100.00%
TRIM	28.26%	32.23%	10.88%	0.00%
NFSC	11.19%	13.19%	2.27%	0.00%
DTTX	8.63%	8.02%	12.02%	0.00%
NSDQ	7.89%	2.28%	35.15%	0.00%
SOHO	3.23%	3.80%	0.68%	0.00%
NYSE	2.57%	2.33%	3.86%	0.00%
ETMM	2.45%	2.85%	0.68%	0.00%
EDGX	2.33%	0.00%	13.61%	0.00%
INET	2.02%	0.00%	11.79%	0.00%

100.00% of total customer orders were non-directed orders.

### Securities Listed on The Nasdaq Stock Market

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
<b>Total</b>	<b>100%</b>	<b>71%</b>	<b>28%</b>	<b>1%</b>
NITE	27.62%	34.20%	11.42%	0.00%
CDRG	26.01%	31.63%	10.89%	71.43%
NSDQ	10.67%	4.18%	27.35%	0.00%
DTTX	8.43%	4.67%	18.14%	0.00%
NFSC	8.11%	10.38%	2.48%	0.00%
EDGX	5.79%	0.00%	20.62%	0.00%
LTCO	5.59%	4.91%	7.26%	9.52%
SOHO	3.06%	3.83%	1.06%	4.76%
ETMM	2.36%	2.86%	0.89%	14.29%
INET	1.34%	0.00%	4.78%	0.00%

100.00% of total customer orders were non-directed orders.

### Securities Listed on NYSE Amex or Regional Exchanges

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
<b>Total</b>	<b>100%</b>	<b>86%</b>	<b>14%</b>	<b>0%</b>
CDRG	37.42%	39.85%	22.95%	0.00%
TRIM	35.22%	37.56%	21.31%	0.00%
ETMM	3.30%	3.58%	1.64%	0.00%
NFSC	3.30%	3.40%	2.73%	0.00%
SOHO	3.22%	3.49%	1.64%	0.00%
NITE	3.07%	2.20%	8.20%	0.00%
INCA	2.67%	3.03%	0.55%	0.00%
DTTX	2.20%	1.84%	4.37%	0.00%
AMEX	1.57%	1.01%	4.92%	0.00%
BYXX	1.42%	1.38%	1.64%	0.00%

100.00% of total customer orders were non-directed orders.

### Exchange-Listed Options

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
<b>Total</b>	<b>100%</b>	<b>14%</b>	<b>86%</b>	<b>0%</b>
PHLX	17.79%	30.61%	15.71%	0.00%
CBOE	17.60%	19.05%	17.37%	0.00%
NOM	13.51%	0.68%	15.60%	0.00%
ISEG	11.32%	1.36%	12.94%	0.00%
ARCA	7.71%	0.68%	8.85%	0.00%
BOX	7.04%	8.84%	6.75%	0.00%
BATS	4.85%	2.72%	5.20%	0.00%
MIAX	4.85%	6.12%	4.65%	0.00%
SIGT	4.57%	5.44%	4.43%	0.00%
ASE	2.38%	17.01%	0.00%	0.00%

100.00% of total customer orders were non-directed orders.