

SEC Rule 606 Report - Ladenburg Thalmann & Co. Inc ("LTCO") - 3rd Qtr, 2017

Securities Listed on New York Stock Exchange Euronext

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100%	84%	16%	0%
TRIM	28.25%	31.71%	10.75%	0.00%
CDRG	28.03%	30.81%	13.17%	100.00%
NFSC	10.66%	12.13%	3.23%	0.00%
NSDQ	6.32%	2.52%	25.81%	0.00%
BOOK	5.79%	4.30%	13.44%	0.00%
SOHO	5.79%	6.19%	3.76%	0.00%
DTTX	4.30%	3.10%	10.48%	0.00%
ETMM	4.12%	4.30%	3.23%	0.00%
INCA	2.63%	3.10%	0.27%	0.00%
EDGX	2.41%	0.05%	14.52%	0.00%

100.00% of total customer orders were non-directed orders.

Securities Listed on The Nasdaq Stock Market

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100%	69%	30%	0%
CDRG	25.18%	30.77%	11.82%	64.29%
NITE	22.53%	27.95%	10.11%	21.43%
NSDQ	10.55%	3.65%	26.50%	0.00%
NFSC	8.90%	11.59%	2.86%	0.00%
LTCO	6.14%	5.86%	6.67%	14.29%
DTTX	5.68%	3.32%	11.15%	0.00%
BOOK	5.10%	3.95%	7.82%	0.00%
EDGX	4.58%	0.00%	15.16%	0.00%
SOHO	4.12%	5.40%	1.24%	0.00%
BATS	3.03%	0.00%	10.01%	0.00%

100.00% of total customer orders were non-directed orders.

Securities Listed on NYSE Amex or Regional Exchanges

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100%	77%	23%	0%
TRIM	28.27%	33.07%	12.27%	0.00%
CDRG	26.72%	33.18%	5.20%	0.00%
NFSC	14.43%	10.06%	29.00%	0.00%
SOHO	5.07%	6.15%	1.49%	0.00%
NSDQ	4.98%	1.56%	16.36%	0.00%
DTTX	4.55%	2.35%	11.90%	0.00%
ETMM	3.27%	3.58%	2.23%	0.00%
INCA	2.92%	3.80%	0.00%	0.00%
AMEX	2.75%	0.67%	9.67%	0.00%
BOOK	2.23%	1.01%	6.32%	0.00%

100.00% of total customer orders were non-directed orders.

Exchange-Listed Options

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100%	17%	83%	0%
PHLX	17.78%	24.39%	16.44%	0.00%
CBOE	16.75%	19.51%	16.19%	0.00%
NOM	13.77%	1.22%	16.32%	0.00%
ISEG	9.97%	0.61%	11.87%	0.00%
MIAX	6.68%	7.93%	6.43%	0.00%
BOX	6.37%	11.59%	5.32%	0.00%
BATS	5.65%	0.00%	6.80%	0.00%
ARCA	5.24%	0.00%	6.30%	0.00%
AMEX	4.93%	5.49%	4.82%	0.00%
NFSC	4.93%	4.88%	4.94%	0.00%

100.00% of total customer orders were non-directed orders.