

SEC Rule 606 Report - Ladenburg Thalmann & Co. Inc ("LTCO") - 2nd Qtr, 2017

Securities Listed on New York Stock Exchange Euronext

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100%	75%	24%	0%
TRIM	28.63%	33.74%	13.10%	0.00%
CDRG	28.13%	30.62%	19.66%	100.00%
NFSC	7.98%	10.25%	1.03%	0.00%
NSDQ	7.77%	0.95%	28.97%	0.00%
BOOK	7.60%	6.79%	10.17%	0.00%
DTTX	3.99%	3.73%	4.83%	0.00%
ETMM	3.78%	3.62%	4.31%	0.00%
NYSE	3.19%	2.45%	5.52%	0.00%
INCA	2.23%	2.78%	0.52%	0.00%
EDGX	2.06%	0.00%	8.45%	0.00%

100.00% of total customer orders were non-directed orders.

Securities Listed on The Nasdaq Stock Market

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100%	76%	23%	0%
NITE	27.96%	32.39%	13.33%	40.00%
CDRG	27.82%	32.42%	12.38%	60.00%
NSDQ	7.57%	2.02%	25.83%	0.00%
NFSC	7.40%	9.08%	2.01%	0.00%
BOOK	5.86%	4.68%	9.79%	0.00%
LTCO	5.12%	4.54%	7.08%	0.00%
DTTX	4.35%	2.16%	11.56%	0.00%
ETMM	2.94%	3.03%	2.71%	0.00%
EDGX	2.70%	0.00%	11.56%	0.00%
SOHO	2.37%	2.88%	0.71%	0.00%

100.00% of total customer orders were non-directed orders.

Securities Listed on NYSE Amex or Regional Exchanges

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100%	78%	22%	0%
TRIM	35.46%	40.48%	17.57%	0.00%
CDRG	33.99%	38.47%	17.57%	100.00%
NFSC	3.72%	4.01%	2.70%	0.00%
ETMM	3.62%	3.63%	3.60%	0.00%
NITE	3.33%	0.75%	12.61%	0.00%
SOHO	2.84%	2.76%	3.15%	0.00%
INCA	2.74%	2.88%	2.25%	0.00%
CANT	1.96%	0.38%	7.66%	0.00%
NYSE	1.67%	0.38%	6.31%	0.00%
DTTX	1.57%	0.38%	5.86%	0.00%

100.00% of total customer orders were non-directed orders.

Exchange-Listed Options

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100%	21%	79%	0%
PHLX	18.91%	29.41%	16.15%	0.00%
NOM	17.80%	0.00%	22.47%	0.00%
CBOE	15.35%	23.00%	13.34%	0.00%
ISEG	10.01%	0.00%	12.64%	0.00%
MIAX	8.34%	13.37%	7.02%	0.00%
BATS	6.23%	0.54%	7.73%	0.00%
BOX	4.45%	3.21%	4.78%	0.00%
ASE	4.34%	20.86%	0.00%	0.00%
ARCA	4.23%	0.54%	5.20%	0.00%
AMEX	2.56%	4.28%	2.11%	0.00%

100.00% of total customer orders were non-directed orders.