

Evercore ISI - Held NMS Stocks and Options Order Routing Public Report

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4th Quarter, 2024

October 2024

S&P 500 Stocks

Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |

Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| ----- | | | | | | | | | | | | | |

October 2024

Non-S&P 500 Stocks

Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |

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|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| ----- | | | | | | | | | | | | | |

October 2024

Options

Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 100.00 | 12.28 | 35.80 | 51.92 | 0.00 |

Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| CBOE | 23.97 | 16.54 | 21.22 | 32.37 | 0.00 | 810.0000 | 12.5398 | 1,130.0000 | 18.8604 | 2,702.0000 | 25.0951 | 0.0000 | 0.0000 |
| ISE | 16.20 | 10.48 | 13.76 | 22.85 | 0.00 | 1,146.0000 | 12.0313 | 749.0000 | 19.7872 | 1,657.0000 | 11.6729 | 0.0000 | 0.0000 |
| ARCA | 13.21 | 6.88 | 12.80 | 19.22 | 0.00 | -779.0000 | -32.7275 | 11.0000 | 0.5439 | -717.0000 | -17.2979 | 0.0000 | 0.0000 |
| BOX | 9.66 | 13.86 | 7.34 | 7.17 | 0.00 | -1,184.0000 | -32.4002 | 46.0000 | 0.7663 | -239.0000 | -8.7036 | 0.0000 | 0.0000 |
| PHLX | 7.78 | 13.96 | 3.41 | 4.69 | 0.00 | 1,029.0000 | 15.6491 | 256.0000 | 18.9432 | 247.0000 | 11.6458 | 0.0000 | 0.0000 |
| MIAX | 7.54 | 7.36 | 11.91 | 5.16 | 0.00 | -84.0000 | -4.7034 | 486.0000 | 11.1699 | 377.0000 | 9.2129 | 0.0000 | 0.0000 |
| AMEX | 6.34 | 14.45 | 2.52 | 1.17 | 0.00 | 971.0000 | 19.8976 | 298.0000 | 19.0723 | 33.0000 | 10.0000 | 0.0000 | 0.0000 |
| EDGX | 3.95 | 5.94 | 4.12 | 2.03 | 0.00 | -742.0000 | -25.7013 | 145.0000 | 10.5101 | -57.0000 | -10.6673 | 0.0000 | 0.0000 |
| MCRY | 2.80 | 3.60 | 4.78 | 0.93 | 0.00 | 225.0000 | 9.8394 | 208.0000 | 19.3154 | 59.0000 | 17.9788 | 0.0000 | 0.0000 |
| EMLD | 2.80 | 5.23 | 2.78 | 0.58 | 0.00 | 449.0000 | 23.2403 | 433.0000 | 47.2683 | 108.0000 | 34.5238 | 0.0000 | 0.0000 |

Material Aspects:

CBOE:

Evercore is not a member of the CBOE Exchange and accesses liquidity through DASH Financial. DASH Financial is charged fees by CBOE Exchange and passes fees and potential credits to Evercore. The CBOE Exchange fee schedule can be accessed at: https://www.cboe.com/us/options/membership/fee_schedule/ DASH Financial at: (<https://dashfinancial.com/rule-606/>) Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options broker (consolidator). DASH Financial (DFIN): Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options routing broker Evercore ISI routes held and not held orders to DASH Financial to be executed in various market centers chosen by DASH Financial. DASH Financial charges Evercore ISI rates from \$0.025 to \$0.25 per contract executed depending on the method of access and product, but Evercore ISI is generally charged \$0.05 per contract for low touch executions and \$0.15 per contract for high touch executions. In addition, Evercore ISI pays exchange, regulatory, market data and clearing fees related to executions through DASH Financial, and Evercore ISI is eligible to receive rebates based on its order volumes routed to DASH Financial. Dash Financial charges and rebates Evercore at the native rates the exchanges would charge Evercore as if it was a member. These charges and rebates will be different from the rates and charges DASH itself receives. Charges will include Commissions, Clearing and Exchange fees and rebates based on order routing. DASH Financial supplies executed venues which Evercore believes is representative of DASH Financial routing of our customer order flow. Evercore does not receive any direct incentives from DASH by sending additional volume, achieving tier rates, nor is it penalized by not meeting minimum order amounts or charged fees for not meeting volume thresholds. Evercore receives no potential benefits based on DASH's overall volume and tiering targets with options exchanges, however, Evercore is not a party to those agreements. Evercore routes to DASH for their connectivity, technology, and services

ISE:

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November 2024

S&P 500 Stocks

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|--|---|---|---|--|
| 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |

Venues

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|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| | | | | | | | | | | | | | |

November 2024

Non-S&P 500 Stocks

Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |

Venues

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| | | | | | | | | | | | | | |

November 2024

Options

Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 100.00 | 18.29 | 32.52 | 49.19 | 0.00 |

Venues

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|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| CBOE | 21.98 | 15.61 | 22.07 | 31.25 | 0.00 | 1,152.0000 | 22.0032 | 1,071.0000 | 23.7409 | 1,523.0000 | 17.4238 | 0.0000 | 0.0000 |
| ISE | 15.84 | 14.81 | 14.64 | 18.13 | 0.00 | 932.0000 | 9.7547 | 225.0000 | 11.9182 | 544.0000 | 3.7941 | 0.0000 | 0.0000 |
| ARCA | 11.72 | 4.68 | 14.54 | 20.19 | 0.00 | -656.0000 | -23.2852 | -80.0000 | -7.3400 | -438.0000 | -13.0184 | 0.0000 | 0.0000 |
| PHLX | 8.37 | 14.45 | 2.97 | 2.99 | 0.00 | 1,393.0000 | 17.8194 | 118.0000 | 32.9335 | 94.0000 | 15.2841 | 0.0000 | 0.0000 |
| MIAX | 8.33 | 7.31 | 11.51 | 7.76 | 0.00 | 105.0000 | 3.8141 | 422.0000 | 12.2367 | 285.0000 | 9.4172 | 0.0000 | 0.0000 |
| EMLD | 6.64 | 11.35 | 2.60 | 2.37 | 0.00 | 552.0000 | 16.7810 | 315.0000 | 46.2977 | 156.0000 | 27.9070 | 0.0000 | 0.0000 |
| AMEX | 5.28 | 8.30 | 2.07 | 2.95 | 0.00 | 1,759.0000 | 36.8461 | 86.0000 | 22.5844 | 171.0000 | 12.1835 | 0.0000 | 0.0000 |
| MCRY | 5.17 | 7.83 | 2.92 | 2.75 | 0.00 | 533.0000 | 10.2434 | 45.0000 | 14.2750 | 48.0000 | 7.7987 | 0.0000 | 0.0000 |
| EDGX | 4.57 | 5.62 | 5.46 | 2.47 | 0.00 | -672.0000 | -23.5475 | 64.0000 | 10.7442 | -94.0000 | -10.8318 | 0.0000 | 0.0000 |
| C2 | 3.71 | 4.86 | 3.82 | 1.96 | 0.00 | -339.0000 | -14.8995 | 1,053.0000 | 50.3325 | 96.0000 | 13.6468 | 0.0000 | 0.0000 |

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PHLX:

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| 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |

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|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
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December 2024

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Summary

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| 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |

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December 2024

Options

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| 100.00 | 14.68 | 10.82 | 74.50 | 0.00 |

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|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| CBOE | 19.39 | 11.63 | 16.81 | 26.17 | 0.00 | 593.0000 | 13.0412 | 207.0000 | 29.1166 | 1,140.0000 | 15.5682 | 0.0000 | 0.0000 |

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|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| ARCA | 15.47 | 10.59 | 11.76 | 20.03 | 0.00 | 1,822.0000 | 38.1257 | 238.0000 | 33.4881 | -512.0000 | -13.3642 | 0.0000 | 0.0000 |
| ISE | 13.97 | 8.48 | 9.03 | 19.20 | 0.00 | 327.0000 | 10.3284 | 155.0000 | 32.3813 | 336.0000 | 6.9278 | 0.0000 | 0.0000 |
| MIAX | 8.36 | 9.31 | 10.08 | 7.35 | 0.00 | 342.0000 | 13.6936 | 108.0000 | 10.7470 | 236.0000 | 6.8184 | 0.0000 | 0.0000 |
| PHLX | 7.71 | 13.81 | 2.94 | 3.30 | 0.00 | 632.0000 | 15.6577 | 70.0000 | 30.3922 | 176.0000 | 13.7650 | 0.0000 | 0.0000 |
| C2 | 7.64 | 13.33 | 5.46 | 3.21 | 0.00 | 498.0000 | 13.4190 | 600.0000 | 52.2794 | 379.0000 | 20.8500 | 0.0000 | 0.0000 |
| EMLD | 5.43 | 9.45 | 3.36 | 2.38 | 0.00 | 406.0000 | 15.9693 | 63.0000 | 25.2372 | 746.0000 | 40.4715 | 0.0000 | 0.0000 |
| BOX | 4.50 | 6.96 | 3.78 | 2.55 | 0.00 | -459.0000 | -22.2088 | 86.0000 | 8.6959 | -49.0000 | -3.5850 | 0.0000 | 0.0000 |
| MCRY | 4.42 | 6.09 | 6.51 | 2.75 | 0.00 | 392.0000 | 9.6204 | 14.0000 | 11.6457 | 150.0000 | 8.5133 | 0.0000 | 0.0000 |
| EDGX | 4.25 | 4.95 | 4.62 | 3.62 | 0.00 | -634.0000 | -23.8224 | 21.0000 | 8.0037 | -120.0000 | -6.4274 | 0.0000 | 0.0000 |

Material Aspects:

CBOE:

Evercore is not a member of the CBOE Exchange and accesses liquidity through DASH Financial. DASH Financial is charged fees by CBOE Exchange and passes fees and potential credits to Evercore. The CBOE Exchange fee schedule can be accessed at: https://www.cboe.com/us/options/membership/fee_schedule/ DASH Financial at: (<https://dashfinancial.com/rule-606/>) Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options broker (consolidator). DASH Financial (DFIN): Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options routing broker Evercore ISI routes held and not held orders to DASH Financial to be executed in various market centers chosen by DASH Financial. DASH Financial charges Evercore ISI rates from \$0.025 to \$0.25 per contract executed depending on the method of access and product, but Evercore ISI is generally charged \$0.05 per contract for low touch executions and \$0.15 per contract for high touch executions. In addition, Evercore ISI pays exchange, regulatory, market data and clearing fees related to executions through DASH Financial, and Evercore ISI is eligible to receive rebates based on its order volumes routed to DASH Financial. Dash Financial charges and rebates Evercore at the native rates the exchanges would charge Evercore as if it was a member. These charges and rebates will be different from the rates and charges DASH itself receives. Charges will include Commissions, Clearing and Exchange fees and rebates based on order routing. DASH Financial supplies executed venues which Evercore believes is representative of DASH Financial routing of our customer order flow. Evercore does not receive any direct incentives from DASH by sending additional volume, achieving tier rates, nor is it penalized by not meeting minimum order amounts or charged fees for not meeting volume thresholds. Evercore receives no potential benefits based on DASH's overall volume and tiering targets with options exchanges, however, Evercore is not a party to those agreements. Evercore routes to DASH for their connectivity, technology, and services

ARCA:

Evercore is not a member of the NYSE Arca Options and accesses liquidity through DASH Financial. DASH Financial is charged fees by NYSE Arca Options and passes fees and potential credits to Evercore. The NYSE Arca Options fee schedule can be accessed at <https://www.nyse.com/markets/arca-options/trading-info>. DASH Financial at: <https://dashfinancial.com/rule-606/>. Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options broker (consolidator). DASH Financial (DFIN): Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options routing broker Evercore ISI routes held and not held orders to DASH Financial to be executed in various market centers chosen by DASH Financial. DASH Financial charges Evercore ISI rates from \$0.025 to \$0.25 per contract executed depending on the method of access and product, but Evercore ISI is generally charged \$0.05 per contract for low touch executions and \$0.15 per contract for high touch executions. In addition, Evercore ISI pays exchange, regulatory, market data and clearing fees related to executions through DASH Financial, and Evercore ISI is eligible to receive rebates based on its order volumes routed to DASH Financial. Dash Financial charges and rebates Evercore at the native rates the exchanges would charge Evercore as if it was a member. These charges and rebates will be different from the rates and charges DASH itself receives. Charges will include Commissions, Clearing and Exchange fees and rebates based on order routing. DASH Financial supplies executed venues which Evercore believes is representative of DASH Financial routing of our customer order flow. Evercore does not receive any direct incentives from DASH by sending additional volume, achieving tier rates, nor is it penalized by not meeting minimum order amounts or charged fees for not meeting volume thresholds. Evercore receives no potential benefits based on DASH's overall volume and tiering targets with options exchanges, however, Evercore is not a party to those agreements. Evercore routes to DASH for their connectivity, technology, and services.

ISE:

Evercore is not a member of the NASDAQ ISE and accesses liquidity through DASH Financial. DASH Financial is charged fees by NASDAQ ISE and passes fees and potential credits to Evercore. The NASDAQ ISE fee schedule can be accessed at: <https://listingcenter.nasdaq.com/rulebook/ise/rules/ise-options-7>. DASH Financial at: <https://dashfinancial.com/rule-606/>. Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options broker (consolidator). DASH Financial (DFIN): Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options routing broker Evercore ISI routes held and not held orders to DASH Financial to be executed in various market centers chosen by DASH Financial. DASH Financial charges Evercore ISI rates from \$0.025 to \$0.25 per contract executed depending on the method of access and product, but Evercore ISI is generally charged \$0.05 per contract for low touch executions and \$0.15 per contract for high touch executions. In addition, Evercore ISI pays exchange, regulatory, market data and clearing fees related to executions through DASH Financial, and Evercore ISI is eligible to receive rebates based on its order volumes routed to DASH Financial. Dash Financial charges and rebates Evercore at the native rates the exchanges would charge Evercore as if it was a member. These charges and rebates will be different from the rates and charges DASH itself receives. Charges will include Commissions, Clearing and Exchange fees and rebates based on order routing. DASH Financial supplies executed venues which Evercore believes is representative of DASH Financial routing of our customer order flow. Evercore does not receive any direct incentives from DASH by sending additional volume, achieving tier rates, nor is it penalized by not meeting minimum order amounts or charged fees for not meeting volume thresholds. Evercore receives no potential benefits based on DASH's overall volume and tiering targets with options exchanges, however, Evercore is not a party to those agreements. Evercore routes to DASH for their connectivity, technology, and services

MIAX:

Evercore is not a member of the Miami Intl Exchange and accesses liquidity through DASH Financial. DASH Financial is charged fees by Miami Intl Exchange and passes fees and potential credits to Evercore. The Miami Intl Exchange fee schedule can be accessed at: <https://www.miaxglobal.com/markets/us-options/miax-options/fees>. DASH Financial at: <https://dashfinancial.com/rule-606/>. Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options broker (consolidator). DASH Financial (DFIN): Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options routing broker Evercore ISI routes held and not held orders to DASH Financial to be executed in various market centers chosen by DASH Financial. DASH Financial charges Evercore ISI rates from \$0.025 to \$0.25 per contract executed depending on the method of access and product, but Evercore ISI is generally charged \$0.05 per contract for low touch executions and \$0.15 per contract for high touch executions. In addition, Evercore ISI pays exchange, regulatory, market data and clearing fees related to executions through DASH Financial, and Evercore ISI is eligible to receive rebates based on its order volumes routed to DASH Financial. Dash Financial charges and rebates Evercore at the native rates the exchanges would charge Evercore as if it was a member. These charges and rebates will be different from the rates and charges DASH itself receives. Charges will include Commissions, Clearing and Exchange fees and rebates based on order routing. DASH Financial supplies executed venues which Evercore believes is representative of DASH Financial routing of our customer order flow. Evercore does not receive any direct incentives from DASH by sending additional volume, achieving tier rates, nor is it penalized by not meeting minimum order amounts or charged fees for not meeting volume thresholds. Evercore receives no potential benefits based on DASH's overall volume and tiering targets with options exchanges, however, Evercore is not a party to those agreements. Evercore routes to DASH for their connectivity, technology, and services.

PHLX:

