# Evercore ISI - Held NMS Stocks and Options Order Routing Public Report Generated on Tue Apr 30 2024 10:27:22 GMT-0400 (Eastern Daylight Time)

1st Quarter, 2024

S&P 500	Stocks												
Non-Directer as % of All		Market Orde Non-Directe		Marketable Limit Orders as % of Non- Directed Orders	Orders		her Orders as % of on-Directed Orders						
	0.0000		0.0000	0.0000		0.0000	0.0000						
/enues	<u> </u>					•							
Venue - Non-	Non- Directed	Market	Marketab		Other	Net Payment	Net Payment Paid/	Net Payment Paid/	Net Payment Paid/	Net Payment Paid/			
directed Order Flow	Orders (%) spects:	Orders (%)	Limit Orde (%)	Marketable Limit Orders (%)	Orders (%)	Paid/Received for Market Orders(USD)	Received for Market Orders(cents per hundred shares)	Received for Marketable Limit Orders(USD)	Received for Marketable Limit Orders(cents per hundred shares)	Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Received for Ot Orders(cents p
directed Order Flow  Material As  January  Non-S&P  Gummary  Non-Directer	spects:  y 2024  2 500 Sto	(%)	(%)	Limit Orders (%)	(%)	Paid/Received for Market Orders(USD)	Received for Market Orders(cents per hundred shares)	Received for Marketable Limit	Received for Marketable Limit Orders(cents per	Received for Non- Marketable Limit	Received for Non- Marketable Limit Orders(cents per	Paid/Received for Other	Net Payment Pa Received for Ord Orders(cents pu hundred shares
directed Order Flow  Material As  January  Non-S&P  Summary	spects:  y 2024  2 500 Sto	(%)	(%)	Limit Orders (%)	Non-Ma	Paid/Received for Market Orders(USD)	Received for Market Orders(cents per hundred shares)	Received for Marketable Limit	Received for Marketable Limit Orders(cents per	Received for Non- Marketable Limit	Received for Non- Marketable Limit Orders(cents per	Paid/Received for Other	Received for Otl Orders(cents p
directed Order Flow  Material As  January  Non-S&P  Gummary  Non-Directer	spects:  y 2024  2 500 Sto	(%)	(%)	Marketable Limit Orders as % of Non-	Non-Mi Orders	Paid/Received for Market Orders (USD)  arketable Limit as as % of Non-No	Received for Market Orders(cents per hundred shares)	Received for Marketable Limit	Received for Marketable Limit Orders(cents per	Received for Non- Marketable Limit	Received for Non- Marketable Limit Orders(cents per	Paid/Received for Other	Received for Otl Orders(cents p
directed Order Flow  Material As  January  Non-S&P  Gummary  Non-Directer	spects:  y 2024  2 500 Sto	(%)	(%)  rs as % of d Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Mi Orders	Paid/Received for Market Orders(USD)  arketable Limit s as % of Noncted Orders	Received for Market Orders(cents per hundred shares)	Received for Marketable Limit	Received for Marketable Limit Orders(cents per	Received for Non- Marketable Limit	Received for Non- Marketable Limit Orders(cents per	Paid/Received for Other	Received for Ot Orders(cents p

January 2024

# **Options**

### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.0000	16.7407	46.2222	37.0370	0.0000

#### Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
CBOE	22.3660	16.3587	20.0414	32.6543	0.0000	875.4700	5,042.0000	1,447.4500	5,546.0000	1,577.2000	4,250.0000	0.0000	0.0000
ISE	17.5830	20.4348	10.2277	25.3086	0.0000	1,231.5551	9,869.0000	456.9700	1,741.0000	880.5100	7,362.0000	0.0000	0.0000
ARCA	10.6723	5.9783	11.5114	14.7531	0.0000	-333.8800	1,083.0000	-23.6200	1,427.0000	-215.5700	1,183.0000	0.0000	0.0000
MIAX	8.3064	9.6196	9.3168	5.3086	0.0000	-89.9000	958.0000	348.6900	3,263.0000	77.8500	1,403.0000	0.0000	0.0000
PHLX	8.1362	17.9348	3.4369	4.0123	0.0000	660.7000	5,751.0000	214.6700	1,032.0000	221.8000	1,354.0000	0.0000	0.0000
AMEX	7.8638	14.0761	3.5197	7.2840	0.0000	841.1000	2,430.0000	177.0000	1,048.0000	307.3000	1,781.0000	0.0000	0.0000
EDGX	5.2936	8.4783	5.2588	1.7284	0.0000	-446.7100	1,592.0000	250.1600	2,625.0000	-45.0500	669.0000	0.0000	0.0000
вох	4.9702	5.1630	6.2940	2.7778	0.0000	-185.9000	902.0000	348.7000	5,222.0000	81.0500	1,680.0000	0.0000	0.0000
BX	2.3319	0.2174	5.2588	0.3704	0.0000	4.4500	5.0000	724.2700	1,394.0000	37.9500	69.0000	0.0000	0.0000
C2	2.1277	0.0000	4.9689	0.3086	0.0000	0.0000	0.0000	2,678.0300	5,335.0000	182.3100	309.0000	0.0000	0.0000

#### Material Aspects:

#### CBOE

vercore is not a member of the CBOE Exchange and accesses liquidity through DASH Financial. DASH Financial is charged fees by CBOE Exchange and passes fees and potential credits to Evercore. The CBOE Exchange fee schedule can be accessed at: https://www.cboe.com/us/options/membership/fee\_schedule/cone/. DASH Financial at: (https://dashfnacial.com/wp-content/uploads/2022/10/DASH-Financial-Technologies-606-Report\_2022\_Q3.pdf). Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options broker (consolidator). DASH Financial (DFIN): Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options routing broker Evercore ISI routes held and not held orders to DASH Financial charges Evercore ISI is generally charged \$0.05 per contract for low touch executions and \$0.15 per contract for low touch executions. In addition, Evercore ISI pays exchange, regulatory, market data and clearing fees related to executions through DASH Financial, and Evercore ISI is eligible to receive rebates based on its order volumes routed to DASH Financial. Dash Financial charges and rebates Evercore at the native rates the exchanges would charge Evercore as if it was a member. These charges and rebates based on order routing. DASH Financial supplies executed venues which Evercore believes is representative of DASH Financial routing of our customer order flow.

Evercore does not receive any direct incentives from DASH by sending additional volume, achieving tier rates, nor is it penalized by not meeting minimum order amounts or charged fees for not meeting volume thresholds. Evercore receives no potential benefits based on DASH's overall volume and tiering targets with options exchanges, however, Evercore is not a party to those agreements. Evercore routes to DASH for their connectivity, technology, and services.

#### ISE:

Evercore is not a member of the NASDAQ ICE and accesses liquidity through DASH Financial. DASH Financial is charged fees by NASDAQ ICE and passes fees and potential credits to Evercore. The NASDAQ ICE fee schedule can be accessed at: https://listingcenter.nasdaq.com/rulebook/ise/rules/ise-options-7. DASH Financial. (https://dashfnancial.com/wp-content/uploads/2022/10/DASH-Financial-Technologies-606-Report\_2022\_Q3\_pdf). Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options routing broker Evercore ISI routes to DASH Financial to harges Evercore ISI rates from \$0.025 to \$0.25 per contract sevented depending on the method of access and product, but Evercore ISI is generally charged \$0.05 per contract for low touch executions and \$0.15 per contract for high touch executions. In addition, Evercore ISI pays exchange, regulatory, market data and clearing fees related to executions through DASH Financial, and Evercore ISI is eligible to receive rebates based on its order volumes routed to DASH Financial. Dash Financial charges and rebates Evercore at the native rates the exchanges would charge Evercore as if it was a member. These charges and rebates based on order routing. DASH Financial supplies executed venues which Evercore believes is representative of DASH Financial routing of our customer order flow.

Evercore does not receive any direct incentives from DASH by sending additional volume, achieving tier rates, nor is it penalized by not meeting minimum order amounts or charged fees for not meeting volume thresholds. Evercore receives no potential benefits based on DASH's overall volume and tiering targets with options exchanges, however, Evercore is not a party to those agreements. Evercore routes to DASH for their connectivity, technology, and services.

#### ARCA

Evercore is not a member of the NYSE Arca Options and accesses liquidity through DASH Financial. DASH Financial is charged fees by NYES Arca Options and passes fees and potential credits to Evercore. The NYSE Arca Options fee schedule can be accessed at: https://www.nyse.com/publicdocs/nyse/markets/arca-options/NYSE\_Arca\_Options\_Fee\_Schedule.pdf. DASH Financial accent (https://dashfnancial.com/wp-content/uploads/2022/10/DASH-Financial-Technologies-606-Report\_2022\_Q3\_pdf). Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options proker (consolidator). DASH Financial (DFIN): Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options routing broker (consolidator). DASH Financial (DFIN): Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options routing broker (consolidator). DASH Financial charges Evercore ISI rates from \$0.025 to \$0.25 per contract executed depending on the method of access and product, but Evercore ISI is generally charged \$0.05 per contract for low touch executions and \$0.15 per contract for high touch executions. In addition, Evercore ISI pays exchange, regulatory, market data and clearing fees related to executions through DASH Financial, and Evercore ISI is eligible to receive rebates based on its order volumes routed to DASH Financial. Dash Financial supplies executed venues which Evercore believes is representative of DASH Financial routing of our customer order flow.

Evercore does not receive any direct incentives from DASH by sending additional volume, achieving tier rates, nor is it penalized by not meeting minimum order amounts or charged fees for not meeting volume thresholds. Evercore receives no potential benefits based on DASH's overall volume and tiering targets with options exchanges, however, Evercore is not a party to those agreements. Evercore routes to DASH for their connectivity, technology, and services.

MIAX:

Evercore is not a member of the Miami Intl Exchange and accesses liquidity through DASH Financial. DaSH Financial is charged fees by Miami Intl Exchange and potential credits to Evercore. The Miami Intl Exchange fee schedule can be accessed at: https://
www.miaxoptions.com/fees. DASH Financial at: (https://dashfnancial.com/wp-content/uploads/2022/10/DASH-Financial Financial (DFIN): Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial (DFIN): Evercore ISI routes held and not held orders to DASH Financial to be executed in various market ones nby
DASH Financial. DASH Financial charges Evercore ISI rates from \$0.025 to \$0.25 per contract executed depending on the method of access and product, but Evercore ISI pays exchange, regulatory, market data and clearing fees related to executions through DASH Financial, and Evercore ISI is eligible to receive rebates based on its order volumes routed to DASH Financial. Dash Financial charges and rebates Evercore at the native rates the exchanges would charge Evercore as if it was a member. These charges and rebates will be different from the rates and charges DASH financial commissions, Clearing and Exchange fees and rebates based on order routing. DASH Financial supplies executed venues which Evercore believes is representative of DASH Financial routing of our customer order flow.

Evercore does not receive any direct incentives from DASH by sending additional volume, achieving tier rates, nor is it penalized by not meeting minimum order amounts or charged fees for not meeting volume thresholds. Evercore receives no potential benefits based on DASH's overall volume and tiering targets with options exchanges, however, Evercore is not a party to those agreements. Evercore routes to DASH for their connectivity, technology, and services.

#### PHI X

Evercore is not a member of the NASDAQ PHLX and accesses liquidity through DASH Financial. DASH Financial is charged fees by NASDAQ PHLX and passes fees and potential credits to Evercore. The NASDAQ PHLX fee schedule can be accessed at: https://dashfnancial.com/wp-content/uploads/2022/10/DASH-Financial-Technologies-606-Report\_2022\_03.pdf). Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial for potent brough DASH Financial (DFIN): Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial for potent brough DASH Financial for potent brough DASH Financial for potent potent potent proker. Evercore ISI routes held and not held orders to DASH Financial to be executions and \$0.15 per contract for high touch executions. In addition, Evercore ISI pays exchange, regulatory, market data and clearing fees related to executions through DASH Financial, and Evercore ISI is eligible to receive rebates based on its order volumes routed to DASH Financial. Dash Financial charges and rebates will be different from the rates and charges DASH itself receives. Charges will include Commissions, Clearing and Exchange fees and rebates based on order routing. DASH Financial supplies executed venues which Evercore believes is representative of DASH Financial routing of our customer order flow.

Evercore does not receive any direct incentives from DASH by sending additional volume, achieving tier rates, nor is it penalized by not meeting minimum order amounts or charged fees for not meeting volume thresholds. Evercore receives no potential benefits based on DASH's overall volume and tiering targets with options exchanges, however, Evercore is not a party to those agreements. Evercore routes to DASH for their connectivity, technology, and services.

#### AMEY

Evercore is not a member of the NYSE Arrac Options and accesses liquidity through DASH Financial. DASH Financial is charged fees by NYSE American Options and passes fees and potential credits to Evercore. The NYSE Arca Options fee schedule can be accessed at: https://www.nyse.com/publicdocs/nyse/markets/american-options/NYSE\_American-Options\_Fee\_Schedule.pdf. DASH Financial at: (https://dashfnancial.com/wp-content/uploads/2022/10/DASH-Financial-fee-506-Report-2022\_Q3.pdf. Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options broker (consolidator). DASH Financial (DFIN): Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options routing broker Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options routing broker Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options routing broker Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options for sorting to routing broker Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options for sorting broker Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options for sorting broker Evercore ISI routes to US Options Exchanges Severcore ISI routes to US Options Exchanges fees and reversed to Severcore ISI routes to US Options Exchanges fees and reversed and potential routing of our customer order flow.

Evercore does not receive any direct incentives from DASH by sending additional volume, achieving tier rates, nor is it penalized by not meeting minimum order amounts or charged fees for not meeting volume thresholds. Evercore receives no potential benefits based on DASH's overall volume and tiering targets with options exchanges, however, Evercore is not a party to those agreements. Evercore routes to DASH for their connectivity, technology, and services.

#### FDGX

Evercore is not a member of the CBOE EDGX Exchanges and accesses liquidity through DASH Financial. DASH Financial is charged fees by CBOE EDGX Exchange and passes fees and potential credits to Evercore. The CBOE EDGX fee schedule can be accessed at: https://www.cboe.com/us/options/membership/fee\_schedule/edgx/. DASH Financial (https://dashffnancial.com/us/options/DASH-Financial-Technologies-606-Report\_2022\_Q3\_pdf). Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options proker Evercore ISI routes beld and not her port through DASH Financial, an electronic options proker Evercore ISI routes beld and not her port through DASH Financial. DASH Financial. DASH Financial charges Evercore ISI rates from \$0.025 to \$0.25 per contract executed depending on the method of access and product, but Evercore ISI generally charged \$0.05 per contract for high touch executions. In addition, Evercore ISI pays exchange, regulatory, market data and clearing fees related to executions through DASH Financial, and Evercore ISI is eligible to receive rebates based on its order volumes routed to DASH Financial. Dash Financial charges and rebates Evercore at the native rates the exchanges would charge Evercore as if it was a member. These charges and rebates based on order routing. DASH Financial supplies executed venues which Evercore believes is representative of DASH Financial routing of our customer order flow.

Evercore does not receive any direct incentives from DASH by sending additional volume, achieving tier rates, nor is it penalized by not meeting minimum order amounts or charged fees for not meeting volume thresholds. Evercore receives no potential benefits based on DASH's overall volume and tiering targets with options exchanges, however, Evercore is not a party to those agreements. Evercore routes to DASH for their connectivity, technology, and services.

#### BOX

Evercore is not a member of the BOX Exchange and accessed it; https://boxoptions.com/assets/BOX-Exchange-Fee-Schedule-as-of-September-1-2016-5.pdf. DASH Financial is charged fees by BOX Exchange Fee-Schedule-as-of-September-1-2016-5.pdf. DASH Financial are (https://dosshfnancial.com/wp-content/uploads/2022/10/DASH-Financial-Technologies-606-Report\_2022\_03\_pdf. Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial and electronic options broker (consolidator). DASH Financial (DFIN): Evercore ISI routes be US Options Exchanges disclosed on the report through DASH Financial, and electronic options for through DASH Financial of the executed in various market centers chosen by DASH Financial. DASH Financial charges Evercore ISI rates from \$0.025 to \$0.25 per contract executed depending on the method of access and product, but Evercore ISI generally charged \$0.05 per contract for low touch executions. In addition, Evercore ISI pays exchange, regulatory, market data and clearing fees related to executions through DASH Financial, and Evercore ISI is eligible to receive rebates based on its order volumes routed to DASH Financial. Dash Financial charges and rebates Evercore at the native rates the exchanges would charge Evercore as if it was a member. These charges and rebates based on order routing. DASH Financial supplies executed venues which Evercore believes is representative of DASH Financial routing of our customer order flow.

Evercore does not receive any direct incentives from DASH by sending additional volume, achieving tier rates, nor is it penalized by not meeting minimum order amounts or charged fees for not meeting volume thresholds. Evercore receives no potential benefits based on DASH's overall volume and tiering targets with options exchanges, however, Evercore is not a party to those agreements. Evercore routes to DASH for their connectivity, technology, and services.

#### BX:

Evercore is not a member of the BOX Exchange and accesses liquidity through DASH Financial. DASH Financial is charged fees by BOX Exchange and passes fees and potential credits to Evercore. The BOX Exchange fee schedule can be accessed at: https://boxoptions.com/assets/BOX-Exchange-Fee-Schedule-as-of-September-1-2016-5.pdf. DASH Financial at: (https://dashfnancial.com/wp-content/uploads/2022/10/DASH-Financial, an electronic options broker (consolidator). DASH Financial Ich (https://dashfnancial. DaSH Financial ich US Options Exchanges disclosed on the report through DASH Financial, an electronic options routing broker Evercore ISI routes held and obscibled in various market centers chosen by DASH Financial. DASH Financial charges Evercore ISI rates from \$0.025 to \$0.25 per contract executed depending on the method of access and product, but Evercore ISI is generally charged \$0.05 per contract for loigh touch executions. In addition, Evercore ISI pays exchange, regulatory, market data and clearing fees related to executions through DASH Financial, and Evercore ISI is eligible to receive rebates based on its order volumes routed to DASH Financial. Dash Financial charges and rebates Evercore at the native rates the exchanges would charge Evercore as if it was a member. These charges and rebates based on order routing. DASH Financial supplies executed venues which Evercore believes is representative of DASH Financial routing of our customer order flow.

Evercore does not receive any direct incentives from DASH by sending additional volume, achieving tier rates, nor is it penalized by not meeting minimum order amounts or charged fees for not meeting volume thresholds. Evercore receives no potential benefits based on DASH's overall volume and tiering targets with options exchanges, however, Evercore is not a party to those agreements. Evercore routes to DASH for their connectivity, technology, and services.

Evercore is not a member of the C2 Options Exchanges and accesses liquidity through DASH Financial. DASH Financial is charged fees by C2 Exchange and passes fees and potential credits to Evercore. The CBOE EDGX fee schedule can be accessed at: https:// www.cboe.com/us/options/membership/fee\_schedule/edgx/. DASH Financial at (https://dashfnancial.com/wp-content/uploads/2022/10/DASH-Financial-Technologies-606-Report\_2022\_Q3.pdf). Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options broker (consolidator). DASH Financial (DFIN):

Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options routing broker Evercore ISI routes held and not held orders to DASH Financial to be executed in various market centers chosen by DASH Financial. DASH Financial charges Evercore ISI rates from \$0.025 to \$0.25 per contract executed depending on the method of access and product, but Evercore ISI is generally charged \$0.05 per contract for low touch executions and \$0.15 per contract for high touch executions. In addition, Evercore ISI pays exchange, regulatory, market data and clearing fees related to executions through DASH Financial, and Evercore ISI is eligible to receive rebates based on its order volumes routed to DASH Financial. Dash Financial charges and rebates Evercore at the exchanges would charge Evercore as if it was a member. These charges and rebates will be different from the rates and charges DASH itself receives. Charges will include Commissions, Clearing and Exchanges and rebates based on order routing of our customer order flow. Evercore does not receive any direct incentives from DASH by sending additional volume, achieving tier rates, nor is it penalized by not meeting minimum order amounts or charged fees for not meeting volume thresholds. Evercore receives no potential benefits based on DASH's overall volume and tiering targets with options exchanges, however, Evercore is not a party to those agreements. Evercore routes to DASH for their connectivity, technology, and services

# February 2024

### S&P 500 Stocks

### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.0000	0.0000	0.0000	0.0000	0.0000

### Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)	
--	--------------------------------	-------------------------	-----------------------------------	---	------------------------	---	---	--	--	---	---	--	--	--

Material Aspects:

\_\_\_\_\_\_

# February 2024

# Non-S&P 500 Stocks

### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.0000	0.0000	0.0000	0.0000	0.0000

### Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
--	--------------------------------	-------------------------	-----------------------------------	---	------------------------	---	---	--	--	---	---	--	--

Material Aspects:

\_\_\_\_\_

# **Options**

### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.0000	16.5402	46.7375	36.7223	0.0000

#### Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
ISE	19.4178	19.3878	14.2351	26.8280	0.0000	1,007.2000	9,763.0000	1,175.9700	5,114.0000	1,840.7350	15,967.0000	0.0000	0.0000
CBOE	18.9005	12.3469	16.7493	28.4418	0.0000	675.1600	4,592.0000	1,848.1901	8,016.0000	2,152.2400	6,291.0000	0.0000	0.0000
ARCA	9.8862	4.3878	9.7380	15.5320	0.0000	-324.1100	1,087.0000	512.8000	2,387.0000	-364.1700	1,763.0000	0.0000	0.0000
AMEX	9.3542	18.3673	5.2408	6.3036	0.0000	1,527.4000	4,115.0000	575.0500	3,277.0000	671.5500	3,727.0000	0.0000	0.0000
PHLX	8.5710	18.4694	4.2493	4.9420	0.0000	676.3000	4,700.0000	427.1700	1,414.0000	232.4000	968.0000	0.0000	0.0000
MIAX	6.9455	6.4286	8.8527	4.7403	0.0000	-88.1000	912.0000	490.1700	3,746.0000	45.2000	1,450.0000	0.0000	0.0000
EDGX	5.0539	6.8878	5.1346	3.1266	0.0000	-309.7000	1,123.0000	210.6000	2,555.0000	-41.5900	675.0000	0.0000	0.0000
вох	4.6697	7.2959	4.6742	2.0676	0.0000	-330.7000	1,740.0000	200.2000	4,712.0000	94.4000	689.0000	0.0000	0.0000
BX	2.5270	0.0000	5.1700	1.2607	0.0000	0.0000	0.0000	1,177.5300	2,145.0000	118.6000	210.0000	0.0000	0.0000
MPRL	2.3349	0.0000	4.9221	0.9581	0.0000	0.0000	0.0000	3,371.1799	5,119.0000	500.0400	894.0000	0.0000	0.0000

#### Material Aspects:

#### 105.

Evercore is not a member of the NASDAQ ICE and accesses liquidity through DASH Financial. DASH Financial is charged fees by NASDAQ ICE and passes fees and potential credits to Evercore. The NASDAQ ICE fee schedule can be accessed at: https://listingcenter.nasdaq.com/rulebook/ise/rules/ise-options-7. DASH Financial. (https://dashfnancial.com/wp-content/uploads/2022/10/DASH-Financial-Technologies-606-Report\_2022\_Q3\_pdf). Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options routes to US Options Exchanges Evercore ISI rates from \$0.025 to \$0.25 per contract executed depending on the method of access and product, but Evercore ISI is generally charged \$0.05 per contract for low touch executions and \$0.15 per contract for high touch executions. In addition, Evercore ISI pays exchange, regulatory, market data and clearing fees related to executions through DASH Financial, and Evercore ISI is eligible to receive rebates based on its order volumes routed to DASH Financial. Dash Financial charges and rebates Evercore at the native rates the exchanges would charge Evercore as if it was a member. These charges and rebates based on order routing. DASH Financial supplies executed venues which Evercore believes is representative of DASH Financial routing of our customer order flow.

Evercore does not receive any direct incentives from DASH by sending additional volume, achieving tier rates, nor is it penalized by not meeting minimum order amounts or charged fees for not meeting volume thresholds. Evercore receives no potential benefits based on DASH's overall volume and tiering targets with options exchanges, however, Evercore is not a party to those agreements. Evercore routes to DASH for their connectivity, technology, and services.

#### CBOE:

vercore is not a member of the CBOE Exchange and accesses liquidity through DASH Financial. DASH Financial is charged fees by CBOE Exchange and passes fees and potential credits to Evercore. The CBOE Exchange fee schedule can be accessed at: https://www.cboe.com/us/options/membership/fee\_schedule/cone/. DASH Financial at: (https://dashfinancial.com/wp-content/uploads/2022/10/DASH-Financial-Technologies-606-Report\_2022\_Q3.pdf). Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options broker (consolidator). DASH Financial (DFIN): Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options proker Evercore ISI routes held and not held orders to DASH Financial to be executed to DASH Financial. DASH Financial Charges Evercore ISI rates from \$0.025 to \$0.25 per contract executed depending on the method of access and product, but Evercore ISI is generally charged \$0.05 per contract for low touch executions and \$0.15 per contract for low touch executions. In addition, Evercore ISI pays exchange, regulatory, market data and clearing fees related to executions through DASH Financial, and Evercore ISI is eligible to receive rebates based on its order volumes routed to DASH Financial. Dash Financial charges and rebates Evercore at the native rates he exchanges would charge Evercore as if it was a member. These charges and rebates based on order routing. DASH Financial supplies executed venues which Evercore believes is representative of DASH Financial routing of our customer order flow.

Evercore does not receive any direct incentives from DASH by sending additional volume, achieving tier rates, nor is it penalized by not meeting minimum order amounts or charged fees for not meeting volume thresholds. Evercore receives no potential benefits based on DASH's overall volume and tiering targets with options exchanges, however, Evercore is not a party to those agreements. Evercore routes to DASH for their connectivity, technology, and services.

#### ARCA:

Evercore is not a member of the NYSE Arca Options and accesses liquidity through DASH Financial. DASH Financial is charged fees by NYES Arca Options and passes fees and potential credits to Evercore. The NYSE Arca Options fee schedule can be accessed at: https://www.nyse.com/publicdocs/nyse/markets/arca-options/NYSE\_Arca\_Options\_Fee\_Schedule.pdf. DASH Financial accent/upcoads/2022/10/DASH-Financial-Technologies-606-Report\_2022\_Q3\_pdf). Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options proker (consolidator). DASH Financial (DFIN): Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial options routing broker. DASH Financial to be executed in various market centers chosen by DASH Financial. DASH Financial charges Evercore ISI rates from \$0.025 to \$0.25 per contract executed depending on the method of access and product, but Evercore ISI is eligible to receive rebates based on its order volumes routed to DASH Financial. Dash Financial, and Evercore ISI is eligible to receive rebates based on its order volumes routed to DASH Financial. Dash Financial charges and rebates Evercore at the native rates the exchanges would charge Evercore as if it was a member. These charges and rebates based on order routing. DASH Financial supplies executed venues which Evercore believes is representative of DASH Financial routing of our customer order flow.

Evercore does not receive any direct incentives from DASH by sending additional volume, achieving tier rates, nor is it penalized by not meeting minimum order amounts or charged fees for not meeting volume thresholds. Evercore receives no potential benefits based on DASH's overall volume and tiering targets with options exchanges, however, Evercore is not a party to those agreements. Evercore routes to DASH for their connectivity, technology, and services.

#### AMEX:

Evercore is not a member of the NYSE American Options and accesses liquidity through DASH Financial. DASH Financial is charged fees by NYSE American Options and passes fees and potential credits to Evercore. The NYSE Arca Options fee schedule can be accessed at: https://www.nyse.com/publicdocs/nyse/markets/american-options/NYSE\_American\_Options\_Fee\_Schedule.pdf. DASH Financial at: (https://dashfnancial.com/wp-content/uploads/2022/10/DASH-Financial.pdf. Plancial.gdf. Dash Financial.gdf. Dash Financial.

Evercore does not receive any direct incentives from DASH by sending additional volume, achieving tier rates, nor is it penalized by not meeting minimum order amounts or charged fees for not meeting volume thresholds. Evercore receives no potential benefits based on DASH's overall volume and tiering targets with options exchanges, however, Evercore is not a party to those agreements. Evercore routes to DASH for their connectivity, technology, and services.

#### PHLX:

Evercore is not a member of the NASDAQ PHLX and accesses liquidity through DASH Financial. DASH Financial is charged fees by NASDAQ PHLX and passes fees and potential credits to Evercore. The NASDAQ PHLX fee schedule can be accessed at: https://dashfnancial.com/rulebook/phlx/ye20Dtions%207. DASH Financial at: (https://dashfnancial.com/wp-content/uploads/2022/10/DASH-Financial-Technologies-606-Report\_2022\_Q3.pdf). Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial to be DASH Financial to be DASH Financial to be DASH Financial to be secuted in various market centers chosen by DASH Financial. DASH Financial to 20.25 to \$0.25 per contract executed depending on the method of access and product, but Evercore ISI generally charged \$0.05 per contract for low touch executions and \$0.15 per contract for low touch executions. In addition, Evercore ISI pays exchange, regulatory, market data and clearing fees related to executions through DASH Financial, and Evercore 1SI is eligible to receive rebates based on its order volumes routed to DASH Financial. Dash Financial charges and rebates will be different from the rates and charges DASH itself receives. Charges will include Commissions, Clearing and Exchange fees and rebates based on order routing. DASH Financial supplies executed venues which Evercore believes is representative of DASH Financial routing of our customer order flow.

Evercore does not receive any direct incentives from DASH by sending additional volume, achieving tier rates, nor is it penalized by not meeting minimum order amounts or charged fees for not meeting volume thresholds. Evercore receives no potential benefits based on DASH's overall volume and tiering targets with options exchanges, however, Evercore is not a party to those agreements. Evercore routes to DASH for their connectivity, technology, and services.

#### MIAX:

Evercore is not a member of the Miami Intl Exchange and accesses liquidity through DASH Financial. DASH Financial is charged fees by Miami Intl Exchange and passes fees and potential credits to Evercore. The Miami Intl Exchange fee schedule can be accessed at: https://dashfnancial.com/wp-content/uploads/2022\_Q3.pdf. Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options broker (consolidator). DASH Financial (DFIN): Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options routing broker. Evercore ISI routes held and not held orders to DASH Financial to be executed in various market centers chosen by DASH Financial. DASH Financial charges Evercore ISI rates from \$0.025 to \$0.25 per contract executed depending on the method of access and product, but Evercore ISI is generally charged \$0.05 per contract for low touch executions and \$0.15 per contract for high touch executions. In addition, Evercore ISI pays exchange, regulatory, market data and clearing fees related to executions through DASH Financial, and Evercore ISI is eligible to receive rebates based on its order volumes routed to DASH Financial. Dash Financial charges and rebates Evercore at the native rates the exchanges would charge Evercore as if it was a member. These charges and rebates will be different from the rates and charges DASH itself receives. Charges will include Commissions, Clearing and Exchange fees and rebates based on order routing. DASH Financial supplies executed venues which Evercore believes is representative of DASH Financial routing of our customer order flow.

Evercore does not receive any direct incentives from DASH by sending additional volume, achieving tier rates, nor is it penalized by not meeting minimum order amounts or charged fees for not meeting volume thresholds. Evercore receives no potential benefits based on DASH's overall volume and tiering targets with options exchanges, however, Evercore is not a party to those agreements. Evercore routes to DASH for their connectivity, technology, and services.

#### EDGX:

Evercore is not a member of the CBOE EDGX Exchanges and accesses liquidity through DASH Financial. DASH Financial is charged fees by CBOE EDGX Exchange and passes fees and potential credits to Evercore. The CBOE EDGX fee schedule can be accessed at: https://www.cboe.com/us/options/membership/fee\_schedule/edgy/. DASH Financial at (https://dashfnancial.com/wp-content/uploads/2022/10/DASH-Financial-Technologies-606-Report\_2022\_Q3\_pdf). Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options proker Evercore ISI routes held and not hereport through DASH Financial, an electronic options routing broker Evercore ISI routes held and not hereport through DASH Financial. DASH Financial Charges Evercore ISI rates from \$0.025 to \$0.25 per contract executed depending on the method of access and product, but Evercore ISI is generally charged \$0.05 per contract for low touch executions. In addition, Evercore ISI pays exchange, regulatory, market data and clearing fees related to executions through DASH Financial, and Evercore ISI is eligible to receive rebates based on its order volumes routed to DASH Financial. Dash Financial charges and rebates will be different from the rates and charges DASH itself receives. Charges will include Commissions, Clearing and Exchange fees and rebates based on order routing. DASH Financial supplies executed venues which Evercore believes is representative of DASH Financial routing of our customer order flow.

Evercore does not receive any direct incentives from DASH by sending additional volume, achieving tier rates, nor is it penalized by not meeting minimum order amounts or charged fees for not meeting volume thresholds. Evercore receives no potential benefits based on DASH's overall volume and tiering targets with options exchanges, however, Evercore is not a party to those agreements. Evercore routes to DASH for their connectivity, technology, and services.

### BOX:

Evercore is not a member of the BOX Exchange and accesses liquidity through DASH Financial. DASH Financial is charged fees by BOX Exchange and passes fees and potential credits to Evercore. The BOX Exchange fee schedule can be accessed at: https://boxoptions.com/assets/BOX-Exchange-Fee-Schedule-as-of-September-1-2016-5.pdf. DASH Financial at: (https://dashfnancial.com/wp-content/uploads/2022/10/DASH-Financial-Technologies-606-Report\_2022\_03.pdf. Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options routing brown in the propert through DASH Financial (DFIN): Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options routing brown in the propert through DASH Financial DASH Financial. DASH Financial charges Evercore ISI rates from \$0.025 to \$0.25 per contract executed depending on the method of access and product, but Evercore ISI generally charged \$0.05 per contract for low touch executions. In addition, Evercore ISI pays exchange, regulatory, market data and clearing fees related to executions through DASH Financial, and Evercore ISI is eligible to receive rebates based on its order volumes routed to DASH Financial. Dash Financial charges and rebates based on order routing. DASH Financial supplies executed venues which Evercore believes is representative of DASH Financial routing of our customer order flow.

Evercore does not receive any direct incentives from DASH by sending additional volume, achieving tier rates, nor is it penalized by not meeting minimum order amounts or charged fees for not meeting volume thresholds. Evercore receives no potential benefits based on DASH's overall volume and tiering targets with options exchanges, however, Evercore is not a party to those agreements. Evercore routes to DASH for their connectivity, technology, and services.

#### BX:

Evercore is not a member of the BOX Exchange and accesses liquidity through DASH Financial. DASH Financial is charged fees by BOX Exchange and passes fees and potential credits to Evercore. The BOX Exchange fee schedule can be accessed at: https://boxoptions.com/assets/BOX-Exchange-Fee-Schedule-as-of-September-1-2016-5.pdf. DASH Financial at: (https://dashfnancial.com/wp-content/uploads/2022/10/DASH-Financial-Technologies-606-Report\_2022\_Q3.pdf. Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options routing broker Evercore ISI routes held and not held orders to US Options Exchanges disclosed on the report through DASH Financial, an electronic options routing broker Evercore ISI routes held and not held orders to US Options Exchanges disclosed on the report through DASH Financial, and electronic options routing broker Evercore ISI routes held and not held orders to US Options Exchanges disclosed on the report through DASH Financial. Dash Financial to be executed in various market centers chosen by DASH Financial charges Evercore ISI rates from \$0.025 to \$0.25 per contract executed depending on the method of access and product, but Evercore ISI generally charged \$0.05 per contract for low touch executions. In addition, Evercore ISI pays exchange, regulatory, market data and clearing fees related to executions through DASH Financial, and Evercore ISI is eligible to receive rebates based on its order volumes routed to DASH Financial. Dash Financial charges and rebates will be different from the rates and charges DASH itself receives. Charges will include Commissions, Clearing and Exchange fees and rebates based on order routing. DASH Financial supplies executed venues which Evercore believes is representative of DASH Financial routing of our customer order flow.

Evercore does not receive any direct incentives from DASH by sending additional volume, achieving tier rates, nor is it penalized by not meeting minimum order amounts or charged fees for not meeting volume thresholds. Evercore receives no potential benefits based on DASH's overall volume and tiering targets with options exchanges, however, Evercore is not a party to those agreements. Evercore routes to DASH for their connectivity, technology, and services.

#### MPRL

Evercore is not a member of the Miami Emerald Exchange and accesses liquidity through DASH Financial. DASH Financial is charged fees by Miami Emerald Exchange and passes fees and potential credits to Evercore. The Miami Emerald Exchange fee schedule can be accessed at: https://www.miaxoptions.com/fees/emerald. DASH Financial at: (https://dashfnancial.com/wp-content/uploads/2022/10/DASH-Financial-Technologies-606-Report\_2022\_Q3.pdf. Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options broker (consolidator).

# March 2024

# S&P 500 Stocks

# Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.0000	0.0000	0.0000	0.0000	0.0000

# Venues

Venue - Non- directed Order Flow	on- Directed Orders Limit Orders Mark cted Orders (%) (%) (%) der (%)	Non- rketable Orders t Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
--	---	---	---	---	--	--	---	---	--	--

Material Aspects:

-----

# March 2024

# Non-S&P 500 Stocks

# Summary

	Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
1	0.0000	0.0000	0.0000	0.0000	0.0000

## Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
--	--------------------------------	-------------------------	-----------------------------------	---	------------------------	---	---	--	--	---	---	--	--

Material Aspects:

\_\_\_\_\_\_

# March 2024

# Options Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders	
100.0000	18.7396	40.9619	40.2985	0.0000	

#### Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
CBOE	18.9409	13.3803	19.6686	27.3730	0.0000	764.5500	4,929.0000	1,607.5300	5,324.0000	2,933.3201	8,790.0000	0.0000	0.0000
ISE	15.6959	12.7347	15.7670	20.4698	0.0000	610.1000	5,635.0000	1,418.2400	2,847.0000	599.3250	4,988.0000	0.0000	0.0000
вох	12.6544	19.6890	3.9017	9.0125	0.0000	-1,233.5000	3,367.0000	108.3500	2,415.0000	-132.2500	1,492.0000	0.0000	0.0000
AMEX	11.9077	16.4026	7.4292	8.5810	0.0000	1,106.2500	3,282.0000	594.3500	1,294.0000	1,638.5000	2,501.0000	0.0000	0.0000
ARCA	9.5451	5.2230	11.3308	15.0048	0.0000	-205.9300	738.0000	-147.3000	951.0000	-1,344.0200	3,049.0000	0.0000	0.0000
MIAX	9.4365	11.0915	9.8343	6.3758	0.0000	-140.5000	1,429.0000	315.5200	2,762.0000	292.7500	3,255.0000	0.0000	0.0000
PHLX	9.0292	13.4977	3.6879	6.5197	0.0000	632.0000	4,119.0000	183.6000	701.0000	908.3000	2,699.0000	0.0000	0.0000
EDGX	5.1595	6.9542	4.8103	2.5407	0.0000	-345.1200	1,137.0000	187.8100	1,898.0000	-63.1900	350.0000	0.0000	0.0000
BX	1.3578	0.0000	5.1844	0.1438	0.0000	0.0000	0.0000	891.9800	1,504.0000	40.5700	43.0000	0.0000	0.0000
C2	0.9097	0.3228	2.8327	0.1438	0.0000	-89.7000	138.0000	951.6100	1,840.0000	34.8400	68.0000	0.0000	0.0000

### Material Aspects:

#### CBOE

vercore is not a member of the CBOE Exchange and accesses liquidity through DASH Financial. DASH Financial is charged fees by CBOE Exchange and passes fees and potential credits to Evercore. The CBOE Exchange fee schedule can be accessed at: https://www.cboe.com/us/options/membership/fee\_schedule/cone/. DASH Financial at: (https://dashfinancial.com/wp-content/uploads/2022/10/DASH-Financial-Technologies-606-Report\_2022\_Q3.pdf). Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options broker (consolidator). DASH Financial (DFIN): Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options proker Evercore ISI routes held and not held orders to DASH Financial to be executed to DASH Financial. DASH Financial charges Evercore ISI rates from \$0.025 to \$0.25 per contract executed depending on the method of access and product, but Evercore ISI is generally charged \$0.05 per contract for low touch executions and \$0.15 per contract for high touch executions. In addition, Evercore ISI pays exchange, regulatory, market data and clearing fees related to executions through DASH Financial, and Evercore ISI is eligible to receive rebates based on its order volumes routed to DASH Financial. Dash Financial charges and rebates Evercore at the native rates the exchanges would charge Evercore as if it was a member. These charges and rebates based on order routing. DASH Financial supplies executed venues which Evercore believes is representative of DASH Financial routing of our customer order flow.

Evercore does not receive any direct incentives from DASH by sending additional volume, achieving tier rates, nor is it penalized by not meeting minimum order amounts or charged fees for not meeting volume thresholds. Evercore receives no potential benefits based on DASH's overall volume and tiering targets with options exchanges, however, Evercore is not a party to those agreements. Evercore routes to DASH for their connectivity, technology, and services.

#### ISE:

Evercore is not a member of the NASDAQ ICE and accesses liquidity through DASH Financial. DASH Financial is charged fees by NASDAQ ICE and passes fees and potential credits to Evercore. The NASDAQ ICE fee schedule can be accessed at: https://listingcenter.nasdaq.com/rulebook/ise/rules/ise-options-7. DASH Financial com/wp-content/uploads/2022/10/DASH-Financial-Technologies-606-Report\_2022\_Q3\_pdf). Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options routing broker Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options routing broker Evercore ISI routes held and not held orders to DASH Financial obarges Evercore ISI routes to US Options Exchanges Evercore ISI routes to US Options Exchanges Evercore ISI routes to US Options Exchanges excepted to the evecution of the method of access and product, but Evercore ISI is generally charged \$0.05 per contract for low touch executions and \$0.15 per contract for high touch executions. In addition, Evercore ISI pays exchange, regulatory, market data and clearing fees related to executions through DASH Financial, and Evercore ISI is eligible to receive rebates based on its order volumes routed to DASH Financial. Dash Financial charges and rebates Evercore at if it was a member. These charges and rebates will be different from the rates and charges DASH itself receives. Charges will include Commissions, Clearing and Exchange fees and rebates based on order routing. DASH Financial supplies executed venues which Evercore believes is representative of DASH Financial routing of our customer order flow.

Evercore does not receive any direct incentives from DASH by sending additional volume, achieving tier rates, nor is it penalized by not meeting minimum order amounts or charged fees for not meeting volume thresholds. Evercore receives no potential benefits based on DASH's overall volume and tiering targets with options exchanges, however, Evercore is not a party to those agreements. Evercore routes to DASH for their connectivity, technology, and services.

#### BOX:

Evercore is not a member of the BOX Exchange and accesses liquidity through DASH Financial. DASH Financial is charged fees by BOX Exchange and passes fees and potential credits to Evercore. The BOX Exchange fee schedule can be accessed at: https://boxoptions.com/assets/BOX-Exchange-Fee-Schedule-as-of-September-1-2016-5.pdf. DASH Financial at: (https://dashfnancial.com/wp-content/uploads/2022/10/DASH-Financial, an electronic options broker (consolidator). DASH Financial (DFIN): Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options routing broker Evercore ISI routes held and observed in various market centers chosen by DASH Financial. DASH Financial charges Evercore ISI rates from \$0.025 to \$0.25 per contract executed depending on the method of access and product, but Evercore ISI is generally charged \$0.05 per contract for long touch executions. In addition, Evercore ISI pays exchange, regulatory, market data and clearing fees related to executions through DASH Financial, and Evercore ISI is eligible to receive rebates based on its order volumes routed to DASH Financial. Dash Financial charges and rebates based on order routing. DASH Financial supplies executed venues which Evercore believes is representative of DASH Financial routing of our customer order flow.

Evercore does not receive any direct incentives from DASH by sending additional volume, achieving tier rates, nor is it penalized by not meeting minimum order amounts or charged fees for not meeting volume thresholds. Evercore receives no potential benefits based on DASH's overall volume and tiering targets with options exchanges, however, Evercore is not a party to those agreements. Evercore routes to DASH for their connectivity, technology, and services.

#### AMEX:

Evercore is not a member of the NYSE American Options and accesses liquidity through DASH Financial. DASH Financial is charged fees by NYSE American Options and passes fees and potential credits to Evercore. The NYSE Arca Options fee schedule and be accessed at: https://www.nyse.com/publicodoss/nyse/markets/american-options/NYSE\_American\_Options\_Fee\_Schedule.pdf. DASH Financial at: (https://dashfnancial.com/wp-content/uploads/2022/10/DASH-Financial-Technologies-606-Report\_2022\_Q3.pdf. Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options broker [consolidator]. DASH Financial (DFIN): Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options routing broker [Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options routing broker [Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options routing broker [Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options routing broker [Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options routing broker [Evercore ISI routes to US Options Exchanges Surface [Us Options Exchanges Surface ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options routing of Surface [Us Options Exchanges IsI routing of Us Options Exchanges fees and potential routing options and Surface [Us Options IsI routing IsI routing of Options IsI routing of Options IsI routing IsI r

Evercore does not receive any direct incentives from DASH by sending additional volume, achieving tier rates, nor is it penalized by not meeting minimum order amounts or charged fees for not meeting volume thresholds. Evercore receives no potential benefits based on DASH's overall volume and tiering targets with options exchanges, however, Evercore is not a party to those agreements. Evercore routes to DASH for their connectivity, technology, and services.

#### ARCA

Evercore is not a member of the NYSE Arca Options and accesses liquidity through DASH Financial. DASH Financial is charged fees by NYES Arca Options and passes fees and potential credits to Evercore. The NYSE Arca Options fee schedule can be accessed at: https:// www.nyse.com/publicdocs/nyse/markets/arca-options/NYSE\_Arca\_Options\_Fee\_Schedule.pdf. DASH Financial accessed in the report through DASH Financial.pethologies-606-Report\_2022\_Q3.pdf). Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial.pethologies-606-Report\_2022\_Q3.pdf). Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options broker (consolidator). DASH Financial (DFIN): Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options routing broker (consolidator). DASH Financial (DFIN): Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options routing broker (consolidator). DASH Financial charges Evercore ISI rates from \$0.025 to \$0.25 per contract executed depending on the method of access and product, but Evercore ISI is generally charged \$0.05 per contract for low touch executions and \$0.15 per contract for high touch executions. In addition, Evercore ISI pays exchange, regulatory, market data and clearing fees related to executions through DASH Financial, and Evercore ISI is eligible to receive rebates based on its order volumes routed to DASH Financial. Dash Financial routing of our customer order flow.

Evercore ISI as described and rebates Evercore ISI as eligible to receive rebates based on order routing. DASH Financial supplies executed venues which Evercore believes is representative of DASH Financial routing of our customer order flow.

Evercore does not receive any direct incentives from DASH by sending additional volume, achieving tier rates, nor is it penalized by not meeting minimum order amounts or charged fees for not meeting volume thresholds. Evercore receives no potential benefits based on DASH's overall volume and tiering targets with options exchanges, however, Evercore is not a party to those agreements. Evercore routes to DASH for their connectivity, technology, and services.

#### ΜΙΔΧ

Evercore is not a member of the Miami Intl Exchange and accesses liquidity through DASH Financial. DASH Financial is charged fees by Miami Intl Exchange and passes fees and potential credits to Evercore. The Miami Intl Exchange fee schedule can be accessed at: https:// www.miaxoptions.com/fees. DASH Financiala. Com/wp-content/uploads/2022\_Q3.pdf. Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial (be presented in the report through DASH Financial on the report through DASH Financial Charges disclosed on the report through DASH Financial, an electronic options routing broker. Evercore ISI routes held and not held orders to DASH Financial to be executed in various market centers chosen by DASH Financial. DASH Financial charges Evercore ISI rates from \$0.025 to \$0.25 per contract executed depending on the method of access and product, but Evercore ISI is generally charged \$0.05 per contract for low touch executions and \$0.15 per contract for high touch executions. In addition, Evercore ISI pays exchange, regulatory, market data and clearing fees related to executions through DASH Financial, and Evercore ISI is eligible to receive rebates based on its order volumes routed to DASH Financial. Dash Financial charges and rebates Evercore at the native rates the exchanges would charge Evercore as if it was a member. These charges and rebates will be different from the rates and charges DASH financial com/missions, Clearing and Exchange fees and rebates based on order routing. DASH Financial supplies executed venues which Evercore believes is representative of DASH Financial routing of our customer order flow.

Evercore does not receive any direct incentives from DASH by sending additional volume, achieving tier rates, nor is it penalized by not meeting minimum order amounts or charged fees for not meeting volume thresholds. Evercore receives no potential benefits based on DASH's overall volume and tiering targets with options exchanges, however, Evercore is not a party to those agreements. Evercore routes to DASH for their connectivity, technology, and services.

#### PHI X

Evercore is not a member of the NASDAQ PHLX and accesses liquidity through DASH Financial. DASH Financial is charged fees by NASDAQ PHLX and passes fees and potential credits to Evercore. The NASDAQ PHLX fee schedule can be accessed at: https://dashfnancial.com/wp-content/uploads/2022/10/DASH-Financial-Technologies-606-Report\_2022\_Q3.pdf). Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial. DaSH Financial (DFIN): Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options broker (consolidator). DASH Financial (DFIN): Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial on the lad orders to DASH Financial or the lad orders to DASH Financial or through DASH Financial or the lad orders to DASH Financial or through DASH Financial or the lad orders to DASH Financial or through DASH Financial or or customer order flow.

Evercore does not receive any direct incentives from DASH by sending additional volume, achieving tier rates, nor is it penalized by not meeting minimum order amounts or charged fees for not meeting volume thresholds. Evercore receives no potential benefits based on DASH's overall volume and tiering targets with options exchanges, however, Evercore is not a party to those agreements. Evercore routes to DASH for their connectivity, technology, and services.

#### EDG

Evercore is not a member of the CBOE EDGX Exchanges and accesses liquidity through DASH Financial. DASH Financial is charged fees by CBOE EDGX Exchange and passes fees and potential credits to Evercore. The CBOE EDGX fee schedule can be accessed at: https://www.cboe.com/us/options/membership/fee\_schedule/edgx/. DASH Financial. Control/epocal/2022\_07.0/DASH-Financial. Pechnologies-606-Report\_2022\_07.g.dpf). Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial options proker (consolidator). DASH Financial (DFIN): Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options proker Evercore ISI routes held and not held orders to DASH Financial to be executed in various market centers chosen by DASH Financial. DASH Financial charges Evercore ISI rates from \$0.025 to \$0.25 per contract executed depending on the method of access and product, but Evercore ISI generally charged \$0.05 per contract for low touch executions. In addition, Evercore ISI pays exchange, regulatory, market data and clearing fees related to executions through DASH Financial, and Evercore ISI is eligible to receive rebates based on its order volumes routed to DASH Financial. Dash Financial charges and rebates will be different from the rates and charges DASH itself receives. Charges will include Commissions, Clearing and Exchange fees and rebates based on order routing. DASH Financial supplies executed venues which Evercore believes is representative of DASH Financial routing of our customer order flow.

Evercore does not receive any direct incentives from DASH by sending additional volume, achieving tier rates, nor is it penalized by not meeting minimum order amounts or charged fees for not meeting volume thresholds. Evercore receives no potential benefits based on DASH's overall volume and tiering targets with options exchanges, however, Evercore is not a party to those agreements. Evercore routes to DASH for their connectivity, technology, and services.

#### BX:

Evercore is not a member of the BOX Exchange and accesses liquidity through DASH Financial. DASH Financial is charged fees by BOX Exchange and passes fees and potential credits to Evercore. The BOX Exchange fee schedule can be accessed at: https://boxoptions.com/assets/BOX-Exchange-Fee-Schedule-as-of-September-1-2016-5.pdf. DASH Financial at: (https://dashfnancial.com/wp-content/uploads/2022/10/DASH-Financial, an electronic options broker (consolidator). DASH Financial (DFIN): Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options routing broker Evercore ISI routes held and other through DASH Financial, and Evercore ISI routes held and in the method of access and product, but Evercore ISI generally charged \$0.05 per contract for long touch executions. In addition, Evercore ISI pays exchange, regulatory, market data and clearing fees related to executions through DASH Financial, and Evercore ISI is eligible to receive rebates based on its order volumes routed to DASH Financial. Dash Financial charges and rebates Evercore at the native rates the exchanges would charge Evercore as if it was a member. These charges and rebates based on order routing. DASH Financial supplies executed venues which Evercore believes is representative of DASH Financial routing of our customer order flow.

Evercore does not receive any direct incentives from DASH by sending additional volume, achieving tier rates, nor is it penalized by not meeting minimum order amounts or charged fees for not meeting volume thresholds. Evercore receives no potential benefits based on DASH's overall volume and tiering targets with options exchanges, however, Evercore is not a party to those agreements. Evercore routes to DASH for their connectivity, technology, and services.

Evercore is not a member of the C2 Options Exchanges and accesses liquidity through DASH Financial. DASH Financial is charged fees by C2 Exchange and passes fees and potential credits to Evercore. The CBOE EDGX fee schedule can be accessed at: https://www.cboe.com/us/options/membership/fee\_schedule/edgx/. DASH Financial at (https://dashfnancial.com/wp-content/uploads/2022/10/DASH-Financial-Technologies-606-Report\_2022\_Q3.pdf). Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options broker (consolidator). DASH Financial (DFIN):

Evercore ISI routes to US Options Exchanges disclosed on the report through DASH Financial, an electronic options routing broker Evercore ISI routes held and not held orders to DASH Financial to be executed in various market centers chosen by DASH Financial. DASH Financial charges Evercore ISI rates from \$0.025 to \$0.25 per contract executed depending on the method of access and product, but Evercore ISI is generally charged \$0.05 per contract for low touch executions and \$0.15 per contract for high touch executions. In addition, Evercore ISI pays exchange, regulatory, market data and clearing fees related to executions through DASH Financial, and Evercore ISI is eligible to receive rebates based on its order volumes routed to DASH Financial charges and rebates Evercore at the exchanges would charge Evercore as if it was a member. These charges and rebates will be different from the rates and charges DASH itself receives. Charges will include Commissions, Clearing and Exchange fees and rebates and rebates based on order routing. DASH Financial supplies executed venues which Evercore believes is representative of DASH Financial routing of our customer order flow. Evercore does not receive any direct incentives from DASH by sending additional volume, achieving tier rates, nor is it penalized by not meeting minimum order amounts or charged fees for not meeting volume thresholds. Evercore receives no potential benefits based on DASH's overall volume and tiering targets with options exchanges, however, Evercore is not a party to those agreements. Evercore routes to DASH for their connectivity, technology, and services