

## SEC Rule 606 Report - Evercore ISI - 4th Qtr, 2019

### Securities Listed on New York Stock Exchange Euronext

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
<b>Total</b>	<b>100%</b>	<b>51%</b>	<b>47%</b>	<b>2%</b>
IEXD	10.87%	12.29%	9.86%	0.03%
XNYS	9.60%	8.18%	6.92%	99.82%
NASD	7.18%	8.89%	5.65%	0.03%
XNGS	6.59%	7.11%	6.35%	0.00%
XBOS	5.09%	5.34%	5.06%	0.00%
ARCX	4.71%	5.01%	4.60%	0.00%
BATS	4.45%	4.55%	4.54%	0.00%
XNAS	4.39%	4.84%	4.11%	0.06%
UBSA	4.16%	4.29%	4.22%	0.00%
EDGX	4.05%	4.48%	3.77%	0.03%

100.00% of total customer orders were non-directed orders.

### Securities Listed on The Nasdaq Stock Market

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
<b>Total</b>	<b>100%</b>	<b>38%</b>	<b>61%</b>	<b>2%</b>
IEXD	10.04%	12.87%	8.61%	0.18%
NASD	7.68%	9.14%	7.00%	0.36%
XNGS	7.20%	8.32%	6.73%	0.24%
XNAS	6.17%	6.45%	3.44%	96.80%
XBOS	4.83%	5.33%	4.64%	0.24%
UBSA	4.50%	4.35%	4.74%	0.06%
ARCX	4.36%	5.18%	3.97%	0.18%
BZXD	4.04%	4.12%	4.09%	0.06%
LEVL	3.59%	2.82%	4.17%	0.00%
BATS	3.54%	3.86%	3.44%	0.12%

100.00% of total customer orders were non-directed orders.

### Securities Listed on NYSE Amex or Regional Exchanges

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
<b>Total</b>	<b>100%</b>	<b>62%</b>	<b>38%</b>	<b>0%</b>
IEXD	9.65%	10.48%	8.43%	0.00%
XNGS	8.53%	8.73%	8.33%	0.00%
NASD	8.15%	10.09%	5.02%	0.00%
BATS	5.96%	5.90%	6.01%	14.29%
BZXD	5.33%	6.31%	3.74%	0.00%
ARCX	4.77%	3.95%	5.84%	42.86%
UBSA	4.70%	5.42%	3.52%	0.00%
IEXG	4.43%	5.21%	3.20%	0.00%
LEVL	3.96%	4.19%	3.59%	0.00%
XBOS	3.67%	3.02%	4.73%	0.00%

100.00% of total customer orders were non-directed orders.

### Exchange-Listed Options

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
<b>Total</b>	<b>100%</b>	<b>61%</b>	<b>39%</b>	<b>0%</b>
DFIN	91.30%	100.00%	77.78%	0.00%
ASOI	8.70%	0.00%	22.22%	0.00%

100.00% of total customer orders were non-directed orders.