# SEC Rule 606 Report - Bay Crest Partners - 4th Qtr, 2018

## Securities Listed on New York Stock Exchange Euronext

| Route Venues | Total (%) | Market (%) | Limit (%) | Other (%) |
|---|---|---|---|---|
| **Total** | **100%** | **92%** | **2%** | **6%** |
| NITE | 84.00% | 90.73% | 29.76% | 0.00% |
| CDRG | 8.14% | 8.42% | 20.24% | 0.00% |
| BBSS | 6.68% | 0.28% | 16.67% | 100.00% |
| LEHM | 0.69% | 0.36% | 18.45% | 0.00% |
| IEXG | 0.22% | 0.10% | 6.55% | 0.00% |
| BAYC | 0.12% | 0.00% | 5.95% | 0.00% |
| JPMS | 0.09% | 0.05% | 2.38% | 0.00% |
| CANT | 0.05% | 0.05% | 0.00% | 0.00% |
| ARCX | 0.01% | 0.01% | 0.00% | 0.00% |

100.00% of total customer orders were non-directed orders.

## Securities Listed on The Nasdaq Stock Market

| Route Venues | Total (%) | Market (%) | Limit (%) | Other (%) |
|---|---|---|---|---|
| **Total** | **100%** | **85%** | **6%** | **9%** |
| NITE | 74.09% | 85.58% | 16.06% | 0.00% |
| CDRG | 11.31% | 12.52% | 10.44% | 0.00% |
| NSDQ | 9.61% | 0.60% | 6.83% | 99.73% |
| LEHM | 2.30% | 1.11% | 23.29% | 0.00% |
| BAYC | 2.04% | 0.00% | 35.34% | 0.00% |
| IEXG | 0.35% | 0.05% | 5.22% | 0.00% |
| JPMS | 0.26% | 0.11% | 2.81% | 0.00% |
| CANT | 0.02% | 0.03% | 0.00% | 0.00% |
| ARCX | 0.02% | 0.00% | 0.00% | 0.27% |

100.00% of total customer orders were non-directed orders.

## Securities Listed on NYSE Amex or Regional Exchanges

| Route Venues | Total (%) | Market (%) | Limit (%) | Other (%) |
|---|---|---|---|---|
| **Total** | **100%** | **88%** | **3%** | **9%** |
| NITE | 78.51% | 88.55% | 27.45% | 0.00% |
| ARCX | 10.23% | 1.33% | 7.84% | 97.14% |
| CDRG | 5.37% | 5.98% | 3.92% | 0.00% |
| ARCA | 2.98% | 2.07% | 35.29% | 0.00% |
| CANT | 0.84% | 0.81% | 3.92% | 0.00% |
| BATS | 0.65% | 0.44% | 0.00% | 2.86% |
| IEXG | 0.52% | 0.52% | 1.96% | 0.00% |
| BAYC | 0.45% | 0.07% | 11.77% | 0.00% |
| LEHM | 0.39% | 0.22% | 5.88% | 0.00% |
| JPMS | 0.07% | 0.00% | 1.96% | 0.00% |

100.00% of total customer orders were non-directed orders.

## Exchange-Listed Options

| Route Venues | Total (%) | Market (%) | Limit (%) | Other (%) |
|---|---|---|---|---|